

Status of Horava-Lifshitz gravity

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ref. Horava-Lifshitz Cosmology: A Review
arXiv: 1007.5199 [hep-th]

Contents of this talk

- Basic idea
- Cosmological implications
- Analogue of Vainshtein effect
- Caustic avoidance
- List of future works

Power counting

$$I \supset \int dt dx^3 \dot{\phi}^2 \quad \int dt dx^3 \phi^n$$

$$\propto E^{-(1+3+ns)}$$

- **Scaling dim of ϕ**
 $t \rightarrow b t$ ($E \rightarrow b^{-1} E$)
 $x \rightarrow b x$
 $\phi \rightarrow b^s \phi$
 $1+3-2+2s = 0$
 $s = -1$

- Renormalizability
 $n \leq 4$
- Gravity is highly non-linear and thus non-renormalizable

Abandon Lorentz symmetry?

$$I \supset \int dt dx^3 \dot{\phi}^2$$

$$\int dt dx^3 \phi^n$$

- Anisotropic scaling

$$t \rightarrow b^z t \quad (E \rightarrow b^{-z} E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^s \phi$$

$$z+3-2z+2s = 0$$

$$s = -(3-z)/2$$

- $s = 0$ if $z = 3$

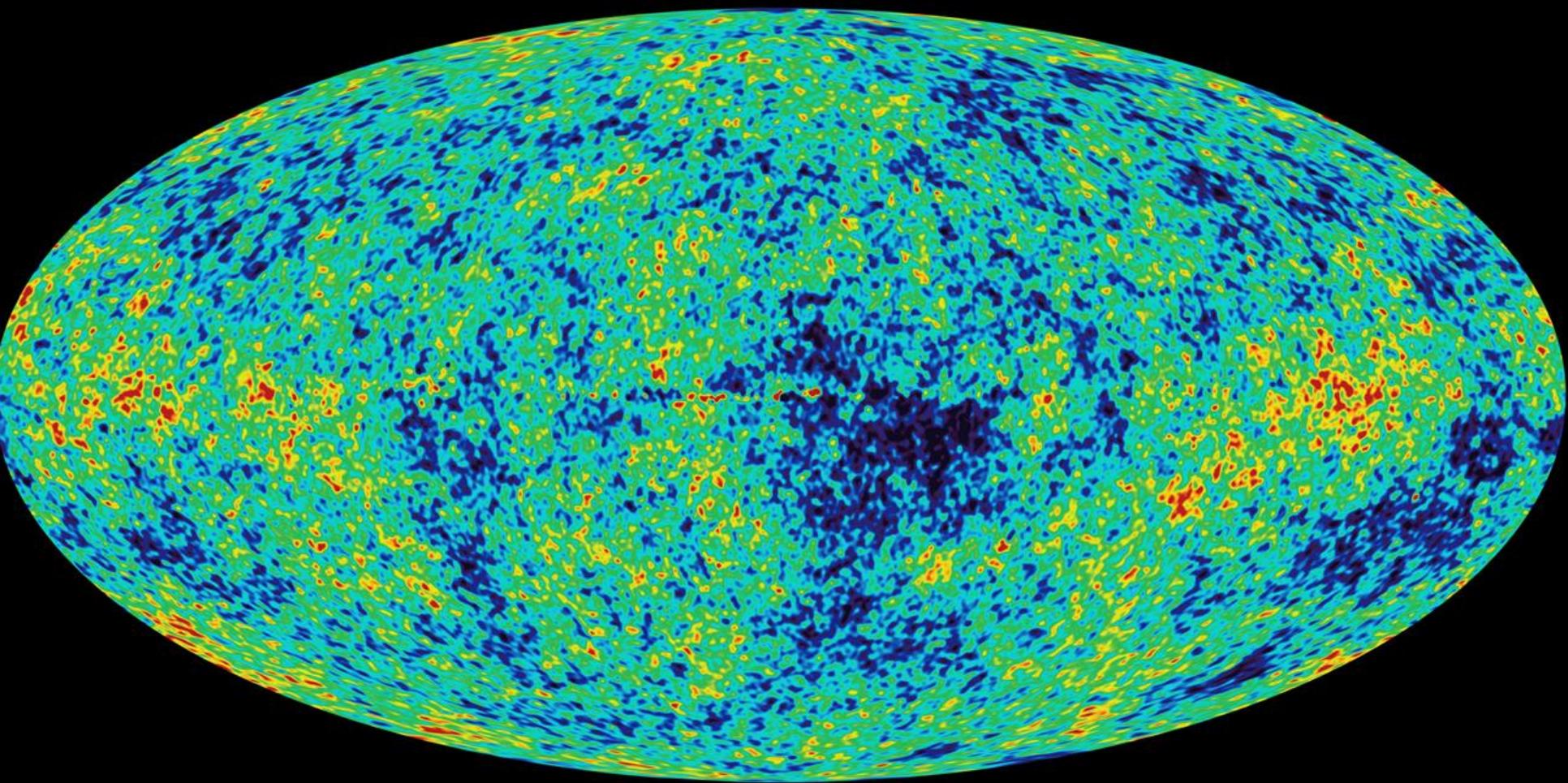
$$\propto E^{-(z+3+ns)/z}$$

- For $z = 3$, any nonlinear interactions are renormalizable!
- Gravity becomes renormalizable!?

Scale-invariant cosmological perturbations from Horava- Lifshitz gravity without inflation

arXiv:0904.2190 [hep-th]

c.f. Basic mechanism is common for “Primordial magnetic field from non-inflationary cosmic expansion in Horava-Lifshitz gravity”, arXiv:0909.2149 [astro-th.CO] with S.Maeda and T.Shiromizu.



Usual story with $z=1$

- $\omega^2 \gg H^2$: oscillate

$\omega^2 \ll H^2$: freeze

oscillation \rightarrow freeze-out iff $d(H^2/\omega^2)/t > 0$

$\omega^2 = k^2/a^2$ leads to $d^2a/dt^2 > 0$

Generation of super-horizon fluctuations requires accelerated expansion, i.e. inflation.

- Scaling law

$t \rightarrow b t$ ($E \rightarrow b^{-1} E$)

$x \rightarrow b x$

$\phi \rightarrow b^{-1} \phi$



$\delta\phi \propto E \sim H$

Scale-invariance requires almost const. H , i.e. inflation.

UV fixed point with $z=3$

- oscillation \rightarrow freeze-out iff $d(H^2/\omega^2)/t > 0$
 $\omega^2 = M^{-4}k^6/a^6$ leads to $d^2(a^3)/dt^2 > 0$

OK for $a \sim t^p$ with $p > 1/3$

- Scaling law

$$t \rightarrow b^3 t \quad (E \rightarrow b^{-3}E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^0 \phi$$



$$\delta\phi \propto E^0 \sim H^0$$

Scale-invariant fluctuations!

$\ln L$

Horizon exit and re-entry

$$a \propto t^p$$

$$1/3 < p < 1$$

wavelength $\sim a/k$

super-horizon & scale-invariant

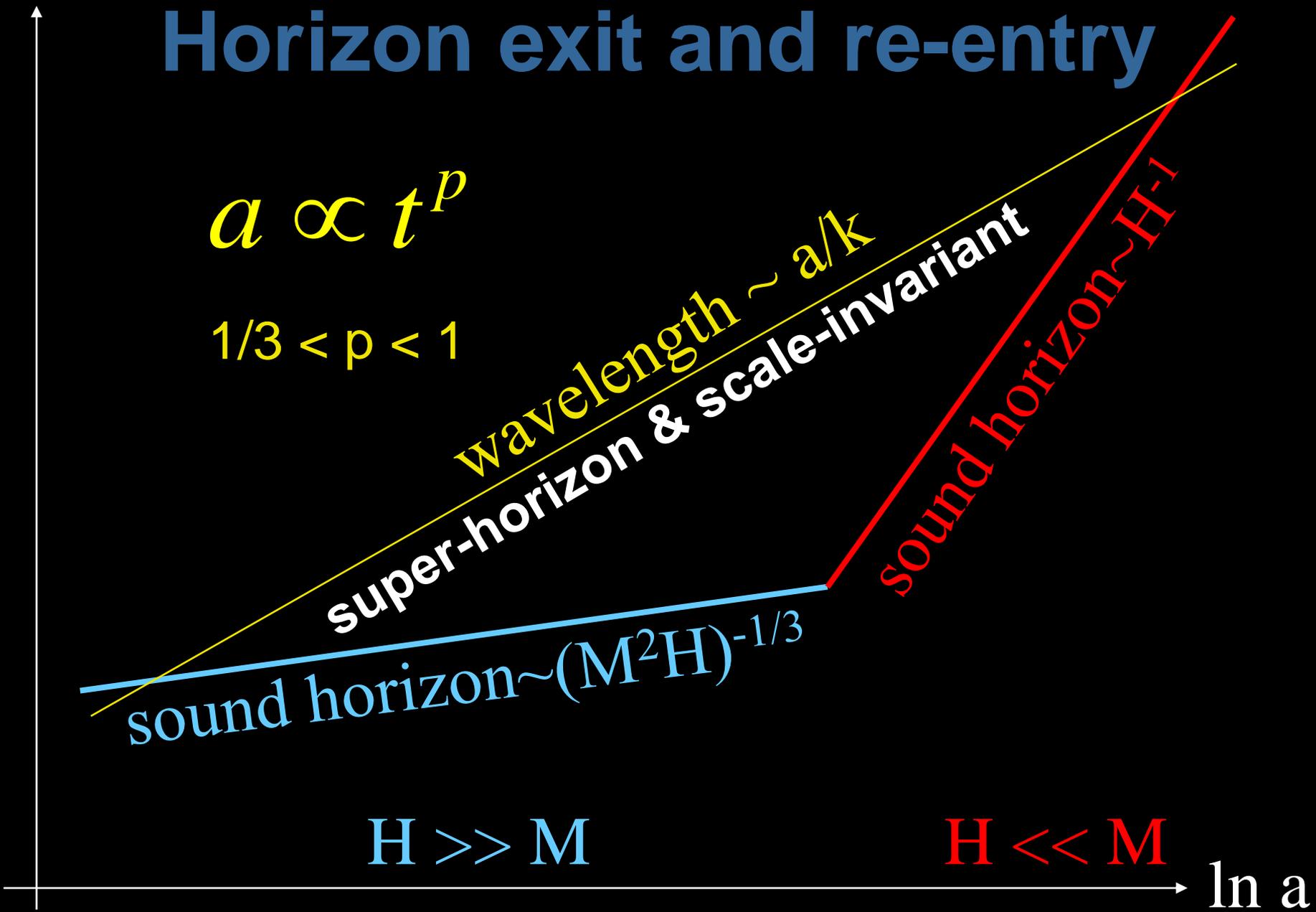
sound horizon $\sim (M^2 H)^{-1/3}$

sound horizon $\sim H^{-1}$

$H \gg M$

$H \ll M$

$\ln a$



GOING BACK TO HORAVA'S IDEA

Horava-Lifshitz gravity

Horava (2009)

- Basic quantities:
lapse $N(t)$, shift $N^i(t,x)$, 3d spatial metric $g_{ij}(t,x)$
- ADM metric (emergent in the IR)
 $ds^2 = -N^2 dt^2 + g_{ij} (dx^i + N^i dt)(dx^j + N^j dt)$
- Foliation-preserving diffeomorphism
 $t \rightarrow t'(t), \quad x^i \rightarrow x'^i(t, x^j)$
- Anisotropic scaling with $z=3$ in UV
 $t \rightarrow b^z t, \quad x^i \rightarrow b x^i$
- Ingredients in the action

$$N dt \int \sqrt{g} d^3 x \left(\frac{1}{2N} \left(\partial_t g_{ij} - D_i N_j - D_j N_i \right)^2 + \frac{1}{2N} R_{ij} \right) \quad (C_{ijkl} = 0 \text{ in 3d})$$

UV action with $z=3$

- Kinetic terms (**2nd time derivative**)

$$\int N dt \sqrt{g} d^3 x \left(K_{ij} K^{ij} - \lambda K^2 \right)$$

c.f. $\lambda = 1$ for GR

- **$z=3$** potential terms (**6th spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[\begin{array}{ccc} D_i R_{jk} D^i R^{jk} & D_i R D^i R & \\ R_i^j R_j^k R_k^i & R R_i^j R_j^i & R^3 \end{array} \right]$$

c.f. $D_i R_{jk} D^j R^{ki}$ is written in terms of other terms

Relevant deformations (with parity)

- z=2 potential terms (**4th spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[R_i^j R_j^i \quad R^2 \right]$$

- z=1 potential term (**2nd spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[R \right]$$

- z=0 potential term (**no derivative**)

$$\int N dt \sqrt{g} d^3 x \left[1 \right]$$

IR action with $z=1$

- **UV: $z=3$** , power-counting renormalizability
 ↓ RG flow
- **IR: $z=1$** , seems to recover GR iff $\lambda \rightarrow 1$

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3x \left(\overbrace{K_{ij} K^{ij} - \lambda K^2}^{\text{kinetic term}} + \underbrace{c_g^2 R - 2\Lambda}_{\text{IR potential}} \right)$$

note:

Renormalizability has not been proved.
RG flow has not yet been investigated.

Projectability condition

- Infinitesimal tr. $\delta t = f(t)$, $\delta x^i = \zeta^i(t, x^j)$
$$\delta g_{ij} = \partial_i \zeta^k g_{jk} + \partial_j \zeta^k g_{ik} + \zeta^k \partial_k g_{ij} + f \dot{g}_{ij}$$

$$\delta N_i = \partial_i \zeta^j N_j + \zeta^j \partial_j N_i + \dot{\zeta}^j g_{ij} + \dot{f} N_i + f \dot{N}_i$$

$$\delta N = \zeta^i \partial_i N + \dot{f} N + f \dot{N}$$
- Space-independent N cannot be transformed to space-dependent N .
- N is gauge d.o.f. associated with the space-independent time reparametrization.
- It is natural to restrict N to be space-independent.
- Consequently, Hamiltonian constraint is an equation integrated over a whole space.

Dark matter as integration constant in Horava-Lifshitz gravity

[arXiv:0905.3563](https://arxiv.org/abs/0905.3563) [hep-th]

See also [arXiv:0906.5069](https://arxiv.org/abs/0906.5069) [hep-th]

Caustic avoidance in Horava-Lifshitz gravity

Structure of HL gravity

- Foliation-preserving diffeomorphism
= 3D spatial diffeomorphism
+ space-independent time reparametrization
- 3 local constraints + 1 global constraint
= 3 momentum @ each time @ each point
+ 1 Hamiltonian @ each time integrated
- Constraints are preserved by dynamical equations.
- We can solve dynamical equations, provided that constraints are satisfied at initial time.

FRW spacetime in HL gravity

- Approximates overall behavior of our patch of the universe inside the Hubble horizon.

- **No “local” Hamiltonian constraint**

E.o.m. of matter

→ conservation eq.

$$\dot{\rho}_i + 3\frac{\dot{a}}{a}(\rho_i + P_i) = 0$$

- Dynamical eq
can be integrated to give

$$-2\frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} = 8\pi G_N \sum_{i=1}^n P_i$$

**Friedmann eq with
“dark matter as
integration constant”**

$$3\frac{\dot{a}^2}{a^2} = 8\pi G_N \left(\sum_{i=1}^n \rho_i + \frac{C}{a^3} \right)$$

IR limit of HL gravity

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3 x \left(K_{ij} K^{ij} - \lambda K^2 + R - 2\Lambda \right)$$

- Looks like GR iff $\lambda = 1$. So, we assume that $\lambda = 1$ is an IR fixed point of RG flow.

- **Global Hamiltonian constraint**

$$\int d^3 x \sqrt{g} (G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} - 8\pi G_N T_{\mu\nu}) n^\mu n^\nu = 0$$

$$n_\mu dx^\mu = -N dt, \quad n^\mu \partial_\mu = \frac{1}{N} (\partial_t - N^i \partial_i)$$

- **Momentum constraint & dynamical eq**

$$(G_{i\mu}^{(4)} + \Lambda g_{i\mu}^{(4)} - 8\pi G_N T_{i\mu}) n^\mu = 0$$

$$G_{ij}^{(4)} + \Lambda g_{ij}^{(4)} - 8\pi G_N T_{ij} = 0$$

Dark matter as integration constant

- Def. $T_{\mu\nu}^{HL}$ $G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} = 8\pi G_N (T_{\mu\nu} + T_{\mu\nu}^{HL})$
- General solution to the momentum constraint and dynamical eq.

$$T_{\mu\nu}^{HL} = \rho^{HL} n_\mu n_\nu \quad n^\mu \nabla_\mu n_\nu = 0$$

- Global Hamiltonian constraint

$$\int d^3x \sqrt{g} \rho^{HL} = 0$$

ρ^{HL} can be positive everywhere in our patch of the universe inside the horizon.

- Bianchi identity \rightarrow (non-)conservation eq

$$\partial_\perp \rho^{HL} + K \rho^{HL} = n^\nu \nabla^\mu T_{\mu\nu}$$

Micro to Macro

- Overall behavior of smooth $T^{\text{HL}}_{\mu\nu} = \rho^{\text{HL}} n_\mu n_\nu$ is like **pressureless dust**.
- **Microscopic lumps (sequences of caustics & bounces) of ρ^{HL} can collide and bounce.** (cf. early universe bounce [Calcagni 2009, Brandenberger 2009]) If asymptotically free, would-be caustics does not gravitate too much.
- Group of microscopic lumps with collisions and bounces \rightarrow When coarse-grained, can it mimic a cluster of particles with velocity dispersion?
- **Dispersion relation of matter fields defined in the rest frame of “dark matter”**
 \rightarrow Any astrophysical implications?

Summary so far

- Horava-Lifshitz gravity is **power-counting renormalizable** and can be a candidate theory of quantum gravity.
- While there are many fundamental issues to be addressed, it is interesting to investigate cosmological implications.
- The $z=3$ scaling **solves horizon problem** and leads to **scale-invariant cosmological perturbations** for $a \sim t^p$ with $p > 1/3$.
- HL gravity does NOT recover GR at low-E but can instead mimic GR+CDM: **“dark matter as an integral constant”**.
Constraint algebra is smaller than GR since **the time slicing and the “dark matter” rest frame are synchronized**.

Vainshtein effect in massive gravity

- Linearized analysis results in vDVZ discontinuity of the massless limit.
- However, perturbative expansion completely breaks down and cannot be trusted.
- Non-perturbative analysis shows continuity and GR is recovered in the massless limit.
- Continuity is not uniform as a function of distance. (e.g. $1/r$ expansion does not work.) However, Vainshtein radius can be pushed to infinity in the massless limit.

Analogue of Vainshtein effect

- Breakdown of perturbation in the limit $\lambda \rightarrow 1$

$$N = 1, \quad N_i = \partial_i B + n_i, \quad g_{ij} = e^{2\zeta} [e^h]_{ij}$$
$$B = \frac{3\lambda - 1}{\lambda - 1} \frac{\dot{\zeta}}{\partial^2}, \quad n_i = 0 \quad \leftarrow \text{momentum constraint}$$

$$I_{kin} = M_{Pl}^2 \int dt d^3 \vec{x} \left\{ (1 + 3\zeta) \left[\frac{3\lambda - 1}{\lambda - 1} \dot{\zeta}^2 + \frac{1}{8} \dot{h}^{ij} \dot{h}_{ij} \right] \right. \\ \left. + \frac{1}{2} \zeta \partial^i (\partial_i B \partial^2 B + 3 \partial^j B \partial_i \partial_j B) + \frac{1}{2} (\partial^k h_{ij} \partial_k B - 3 \dot{h}_{ij} \zeta) \partial^i \partial^j B \right. \\ \left. - \frac{1}{4} (\dot{h}^{ij} \partial_k h_{ij}) \partial^k B \right\} + O(\epsilon^4),$$

- No negative power of $(\lambda-1)$ in potential part
- Non-perturbative analysis is needed for scalar graviton sector!

Analogue of Vainshtein effect

- Spherically symmetric, static ansatz

$$N = 1, \quad N_i dx^i = \beta(x) dx, \quad g_{ij} dx^i dx^j = dx^2 + r(x)^2 d\Omega_2^2$$



$$R \equiv \beta^{(\lambda-1)/(2\lambda)} r \quad \text{without HD terms}$$

$$R'' + \frac{\lambda-1}{\lambda} \left[\frac{(3\lambda-1)(\beta')^2 R}{4\lambda^2 \beta^2} + \frac{(\lambda-1)\beta' R'}{\lambda\beta} - \frac{(R')^2}{R} \right] = 0$$

$$\frac{\beta'}{\beta} - \frac{(\lambda-1)R}{4\lambda R'} \left(\frac{\beta'}{\beta} \right)^2 + \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda-1)\beta^2 - 1](R')^2}{(3\lambda-1)\beta^2 + (\lambda-1)} = 0$$

- Two branches

$$\frac{\beta'}{\beta} = \frac{1 \pm \sqrt{1 + 4AB}}{2A},$$

$$A \equiv \frac{(\lambda-1)R}{4\lambda R'}, \quad B \equiv \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda-1)\beta^2 - 1](R')^2}{(3\lambda-1)\beta^2 + (\lambda-1)}$$

- “-” branch recovers GR in the $\lambda \rightarrow 1$ limit

Analogue of Vainshtein effect

$$\frac{\beta'}{\beta} = \frac{1 \pm \sqrt{1 + 4AB}}{2A}, \quad \rightarrow \text{choose the "-" branch}$$

$$A \equiv \frac{(\lambda - 1)R}{4\lambda R'}, \quad B \equiv \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda - 1)\beta^2 - 1](R')^2}{(3\lambda - 1)\beta^2 + (\lambda - 1)}$$

- $(3\lambda-1)\beta^2 \ll (\lambda-1)$
perturbative regime, $1/r$ expansion
- $(3\lambda-1)\beta^2 \gg (\lambda-1)$
non-perturbative regime, recovery of GR
- $(3\lambda-1)\beta^2 \sim (\lambda-1)$ with $\beta^2 \sim r_g/r \rightarrow r \sim r_g/(\lambda-1)$
analogue of Vainshtein radius???

dynamical



GR

$r \sim r_g/(\lambda-1)$

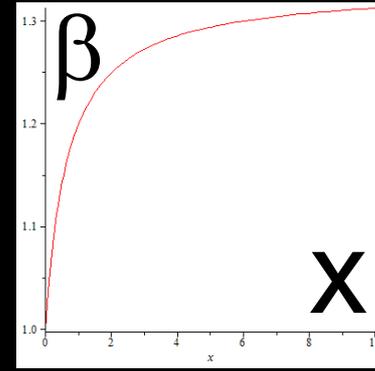
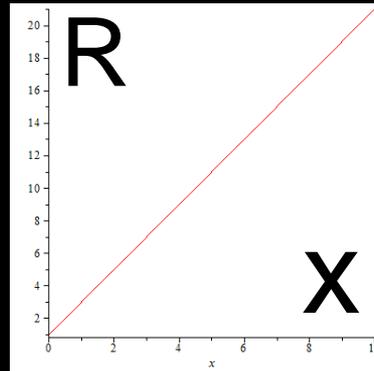
non-GR

Izumi & Mukohyama 2009
"Stellar center is dynamical"

Analogue of Vainshtein effect

- Numerical integration **in the “-” branch** with $\beta(x=0)=1$, $r(x=0)=1$, $r'(x=0)$ given

for
 $\lambda-1=10^{-6}$
 $r'(x=0)=2$



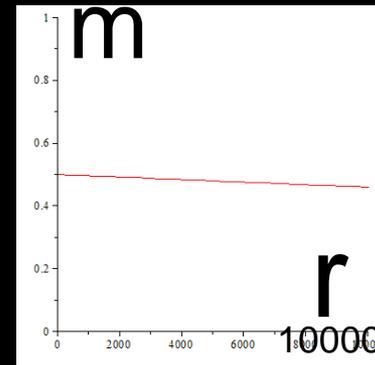
- Misner-Sharp energy

$$m \equiv \frac{r}{2} \left[1 - (1 - \beta^2)(r')^2 \right]$$

almost constant



GR is recovered!



Caustic avoidance

JCAP 0909:005,2009

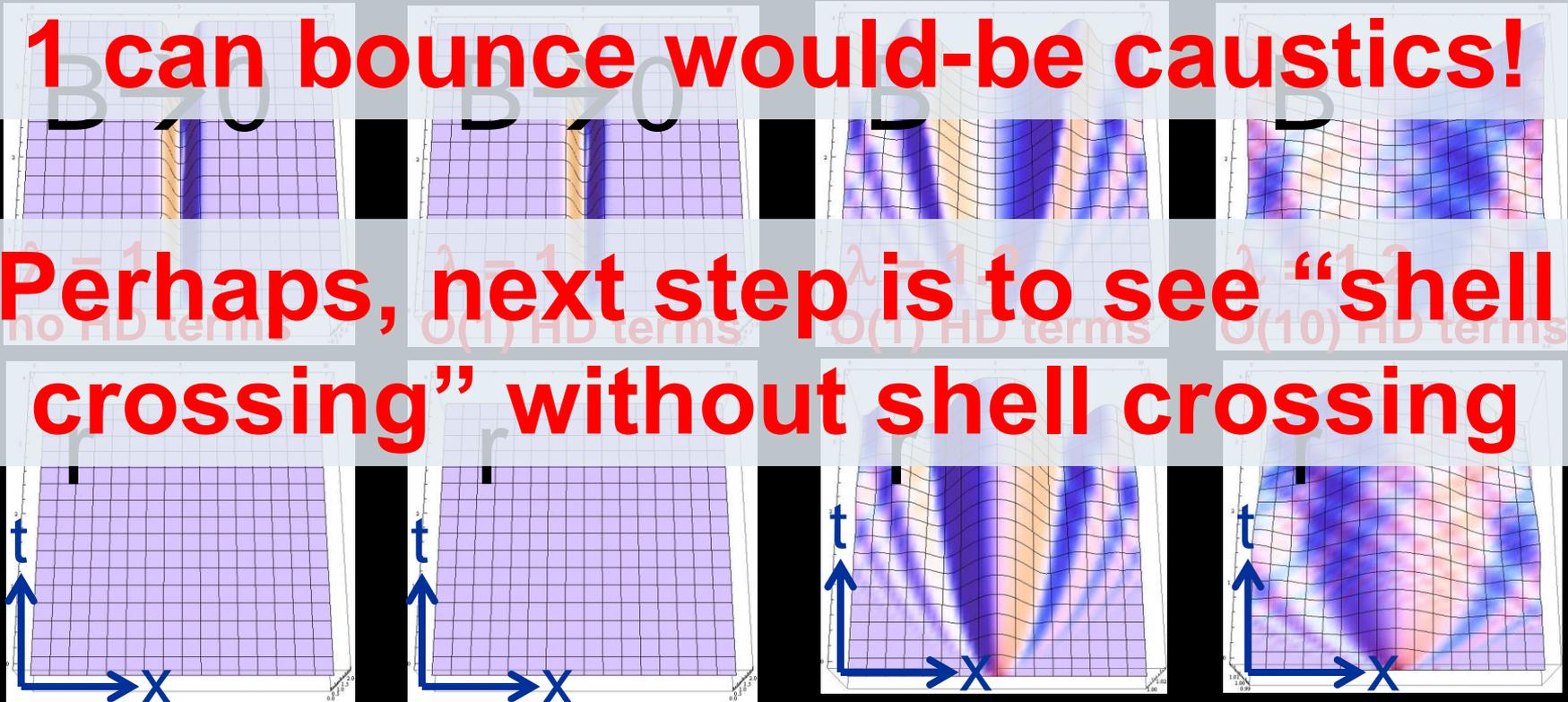
- In GR, congruence of geodesics forms caustics because gravity is attractive.
- HL gravity is repulsive at short distances, due to higher curvature terms.
(c.f. bouncing FRW universe)
- With codimension 2 and 3, higher curvature terms can bounce would-be caustics.
- With codimension 1, deviation of λ from 1 is also needed to bounce would-be caustics.

Caustic avoidance

$$N = 1 \quad N_i = 0$$

HD terms and deviation of λ from 1 can bounce would-be caustics!

Perhaps, next step is to see “shell crossing” without shell crossing



Summary

- Horava-Lifshitz gravity is **power-counting renormalizable** and can be a candidate theory of quantum gravity.
- While there are many fundamental issues to be addressed, it is interesting to investigate cosmological implications.
- The $z=3$ scaling **solves horizon problem** and leads to **scale-invariant cosmological perturbations** for $a \sim t^p$ with $p > 1/3$.
- HL gravity does NOT recover GR at low-E but can instead mimic GR+CDM: **“dark matter as an integral constant”**. Constraint algebra is smaller than GR since **the time slicing and the “dark matter” rest frame are synchronized**.
- For spherically-symmetric, static, vacuum configurations, **GR is recovered in the limit $\lambda \rightarrow 1$ non-perturbatively**.
- **Caustics avoidance** requires higher curvature terms and deviation of λ from 1 in the UV. Next step is to see if bounce of shells can mimic shell crossing.

Future works

- Renormalizability beyond power-counting
- RG flow: is $\lambda = 1$ an IR fixed point ? Does it satisfy the stability condition for the scalar graviton?
($|c_s| < \text{Max} [|\Phi|^{1/2}, HL]$ for $\text{Max}[M^{-1}, 0.01\text{mm}] < L < H^{-1}$)
- Is Vainshtein effect generic?
e.g. superhorizon nonlinear cosmological perturbations (to appear soon, with K.Izumi)
- Can we get a common “limit of speed” ?
(i) $M_{z=3} \ll M_{\text{pl}}$, (ii) supersymmetry, (iii) other ideas?
- Micro & macro behavior of “CDM”
- Adiabatic initial condition for “CDM” from the $z=3$ scaling
- Spectral tilt from anomalous dimension
- Extensions of the original theory: Blas, et.al; Horava & Melby-Thompson ...