

Interpretability and the arithmetized completeness theorem

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- The notion of interpretability was explicitly introduced by Tarski(1954) and systematically investigated by Feferman and Orey(1960).
- In this talk, we introduce a result about interpretability proved by using the arithmetized completeness theorem in Feferman(1960).

Contents

- ① Interpretability
- ② The arithmetized completeness theorem
- ③ An application
- ④ More investigations

- 1 **Interpretability**
- 2 The arithmetized completeness theorem
- 3 An application
- 4 More investigations

Definition

$\mathcal{L}, \mathcal{L}'$: languages, $t: \text{Fml}_{\mathcal{L}} \rightarrow \text{Fml}_{\mathcal{L}'}$.

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- $t(x = y) \equiv x = y$;
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- $\dots$;
- $t(\neg\varphi) \equiv \neg t(\varphi)$ for any $\mathcal{L}$ -formula φ ;
- $t(\varphi \vee \psi) \equiv t(\varphi) \vee t(\psi)$ for any $\mathcal{L}$ -formulas φ, ψ ;
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- $\exists d(x)$: $\mathcal{L}'$ -formula s.t.
 $t(\exists x\varphi(x)) \equiv \exists x(d(x) \wedge t(\varphi(x)))$ for any $\mathcal{L}$ -formula $\varphi(x)$.

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S is **interpretable** in T ($S \leq T$)

$\stackrel{\text{def.}}{\Leftrightarrow} \exists t$: interpretation of S in T .

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- $\mathcal{L}_A := \{0, S, +, \times, <\}$: language of arithmetic.
 - PA: Peano arithmetic (Basic axioms of arithmetic with induction scheme for all $\mathcal{L}_A$ -formulas).
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- ZF: Zermelo-Fraenkel set theory (Inf: Axiom of infinity).
- $\text{ZF} - \text{Inf} \leq \text{PA}$.
- $\text{ZF} \not\leq \text{PA}$.
- $\text{PA} + \text{Con}_{\text{PA}} \not\leq \text{PA}$.
- $\text{PA} + \neg \text{Con}_{\text{PA}} \leq \text{PA}$.
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- Feferman's theorem is proved by using **the arithmetized completeness theorem**.

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- $\exists Z := \{\exists x_n \varphi_n(x_n) \rightarrow \varphi_n(c_{i_n}) \mid n \in \omega\}$: p.r. set s.t. $T + Z$ is a conservative extension of T .

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- Then $T + Z$ is consistent (Henkin extension).

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$\exists \sigma(x)$: Σ_1 formula s.t. $\forall \varphi$: $\mathcal{L}$ -sentence,

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For Σ_1 numeration $\sigma(x)$ of S in T ,

we can construct a Σ_1 formula $\text{Pr}_\sigma(x)$ s.t. $\forall \varphi$: $\mathcal{L}$ -sentence,

$$S \vdash \varphi \Leftrightarrow T \vdash \text{Pr}_\sigma(\ulcorner \varphi \urcorner).$$

$\text{Pr}_\sigma(x)$ is called the **provability predicate** of $\sigma(x)$.

$\sigma(x), \sigma'(x)$: numerations of S and S' in T respectively.

Define

- $(\sigma|n)(x) := \sigma(x) \wedge x \leq \bar{n}$.
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- 2 (Mostowski) $\forall n \in \omega, T \vdash \text{Con}_{\sigma|n}$.

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- Define the p.r. set Z as above. Let $\zeta(x)$ be a suitable numeration of Z s.t.
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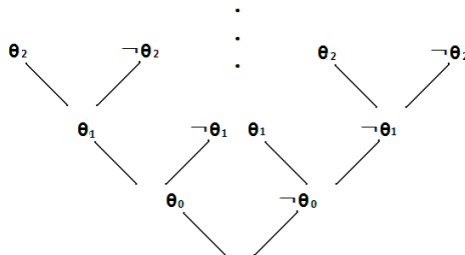
The arithmetized completeness theorem

$\forall \sigma(x)$: numeration of T , $\exists \xi(x)$: $\mathcal{L}_A$ -formula s.t.

- 1 $\text{PA} \vdash \text{Con}_\sigma \rightarrow \text{Hcm}_\xi$ and
- 2 $\text{PA} \vdash \forall x(\text{Pr}_\sigma(x) \rightarrow \xi(x))$.

Proof.

- $\{\theta_n\}_{n \in \omega}$: p.r. enumeration of all $\mathcal{L}_C$ -sentences.
- $\xi(x) \cdots$ “ x is contained in the leftmost consistent path”.
- $\text{PA} \vdash \forall x (\text{Pr}_\sigma(x) \rightarrow \xi(x))$.
- $\text{PA} \vdash \text{Con}_{\sigma \vee \zeta} \rightarrow \text{Hcm}_\xi$.



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- By induction, $\forall \varphi$, $\mathbf{PA} + \mathbf{Hcm}_\xi \vdash t(\varphi) \leftrightarrow \xi(\ulcorner \varphi \urcorner)$.
- $\forall \varphi$, $T + \mathbf{Con}_\sigma \vdash t(\varphi) \leftrightarrow \xi(\ulcorner \varphi \urcorner)$.
- t is a translation of $\mathcal{L}_S$ into $\mathcal{L}_{T+\mathbf{Con}_\sigma}$.
- φ : $\mathcal{L}_S$ -sentence s.t. $S \vdash \varphi$. $T \vdash \mathbf{Pr}_\sigma(\ulcorner \varphi \urcorner)$.
- $T + \mathbf{Con}_\sigma \vdash \xi(\ulcorner \varphi \urcorner)$. $T + \mathbf{Con}_\sigma \vdash t(\varphi)$.



- ① Interpretability
- ② The arithmetized completeness theorem
- ③ **An application**
- ④ More investigations

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- $|\mathcal{N}| := \{a \in |\mathcal{M}| : \mathcal{M} \models d(a)\};$
- **For $c \in \mathcal{L}_S$: constant,**
 $c^{\mathcal{N}} := \text{the unique } a \in |\mathcal{M}| \text{ s.t. } \mathcal{M} \models d(a) \wedge \eta_c(a);$
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- **Suppose $S \vdash \varphi$.**
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- **Suppose $S \vdash \varphi$.**
- **Since $S \leq T$, $T \vdash t(\varphi)$.**
- **$\mathcal{M} \models t(\varphi)$, so $\mathcal{N} \models \varphi$.**

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- Suppose $S \vdash \varphi$.
- Since $S \leq T$, $T \vdash t(\varphi)$.
- $\mathcal{M} \models t(\varphi)$, so $\mathcal{N} \models \varphi$.
- $\mathcal{N}$ is a model of S .

Definition

$\mathcal{M}, \mathcal{N}$: models of arithmetic.

$\mathcal{M}$ is an **initial segment** of $\mathcal{N}$ ($\mathcal{M} \subseteq_e \mathcal{N}$) $\stackrel{\text{def.}}{\Leftrightarrow}$

- ① $|\mathcal{M}| \subseteq |\mathcal{N}|$ and
- ② $\forall a \in |\mathcal{M}| \forall b \in |\mathcal{N}|, (\mathcal{N} \models b < a \Rightarrow b \in |\mathcal{M}|).$

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Theorem(Orey(1961), Hájek (1971,1972))

For any consistent r.e. extensions S, T of PA, T.F.A.E.:

- (i) $S \leq T$.
- (ii) $\forall \mathcal{M} \models T \exists \mathcal{N} \models S$ s.t. $\mathcal{M} \subseteq_e \mathcal{N}$.
- (iii) $\forall \theta$: Π_1 sentence, $S \vdash \theta \Rightarrow T \vdash \theta$.
- (iv) $\forall \sigma(x)$: Σ_1 numeration of $S \forall n \in \omega$,
 $T \vdash \text{Con}_{\sigma|n}$.

(i) $S \leq T$.

(ii) $\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}$.

(i) $\Rightarrow$ (ii)

- Let t be an interpretation of S in T .
- Let $\mathcal{M}$ be any model of T .

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- Let $\mathcal{N}$ be a model of S defined by t and $\mathcal{M}$.

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- Let t be an interpretation of S in T .
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- Let $\mathcal{N}$ be a model of S defined by t and $\mathcal{M}$.
- Define a function f in $\mathcal{M}$ satisfying $f(0^{\mathcal{M}}) = 0^{\mathcal{N}}$ and $f(S^{\mathcal{M}}(a)) = S^{\mathcal{N}}(f(a))$.

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- Define a function f in $\mathcal{M}$ satisfying $f(0^{\mathcal{M}}) = 0^{\mathcal{N}}$ and $f(S^{\mathcal{M}}(a)) = S^{\mathcal{N}}(f(a))$.
- Then f is an isomorphism of an initial segment of $\mathcal{N}$.

(ii) $\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}.$

(iii) $\forall \theta: \Pi_1 \text{ sentence, } S \vdash \theta \Rightarrow T \vdash \theta.$

(ii) $\Rightarrow$ (iii)

- Let θ be any Π_1 sentence s.t. $S \vdash \theta$.
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- By (ii), $\exists \mathcal{N} \models S$ s.t. $\mathcal{M} \subseteq_e \mathcal{N}$.

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- By (ii), $\exists \mathcal{N} \models S$ s.t. $\mathcal{M} \subseteq_e \mathcal{N}$.
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- Since θ is Π_1 , $\mathcal{M} \models \theta$.

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- $\mathcal{N} \models \theta$.
- Since θ is Π_1 , $\mathcal{M} \models \theta$.
- By completeness theorem, $T \vdash \theta$.

- (i) $S \leq T$.
- (iii) $\forall \theta: \Pi_1 \text{ sentence, } S \vdash \theta \Rightarrow T \vdash \theta$.
- (iv) $\forall \sigma(x): \Sigma_1 \text{ numeration of } S \forall n \in \omega,$
 $T \vdash \text{Con}_{\sigma|n}$.

(iii) $\Rightarrow$ (iv)

- By Mostowski's theorem, $\forall n \in \omega, S \vdash \text{Con}_{\sigma|n}$.

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- Let $\sigma^*(x) :\equiv \sigma(x) \wedge \mathbf{Con}_{\sigma|x}$.

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- Let $\sigma^*(x) :\equiv \sigma(x) \wedge \text{Con}_{\sigma|x}$.
- Then $\sigma^*(x)$ numerates S in T and $\text{PA} \vdash \text{Con}_{\sigma^*}$.

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- By **Feferman's theorem**, $S \leq T + \text{Con}_{\sigma^*}$.

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- $S \leq T$.



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- 3 An application
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Theorem

T, S : consistent r.e. extensions of PA. $\mathcal{M}$: models of T .

If $\mathcal{M} \models \text{Con}_S$, then

$\exists \mathcal{N} \models S$ s.t. $\mathcal{M} \subseteq_e \mathcal{N}$ and

$\exists \xi(x)$: $\mathcal{L}_A$ -formula s.t.

- $\forall \varphi, (\mathcal{M} \models \text{Pr}_S(\ulcorner \varphi \urcorner) \Rightarrow \mathcal{N} \models \varphi),$
- $\forall \varphi, (\mathcal{M} \models \xi(\ulcorner \varphi \urcorner) \Leftrightarrow \mathcal{N} \models \varphi).$

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- Suppose $\forall \mathcal{M} \models T, \mathcal{M} \models \text{Con}_T$.
- By using Theorem, lead a contradiction.

- **Faithful interpretability**
(Feferman, Kreisel, Orey, 1960)(Lindström, 1984)

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Theorem(Lindström)

S, T : r.e. extensions of PA. T.F.A.E.:

- S is faithful interpretable in T .
- $S \leq T$ and $\forall \varphi, (T \vdash \text{Pr}_\phi(\ulcorner \varphi \urcorner) \Rightarrow S \vdash \varphi).$
- $\forall \theta : \Pi_1$ sentence, $(S \vdash \theta \Rightarrow T \vdash \theta)$ and
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- $\mathcal{D}_T := (D_T, \leq)$.

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- $\mathcal{D}_T := (D_T, \leq)$.

Theorem(Lindström)

T is an r.e. consistent extension of PA, then $\mathcal{D}_T$ is a distributive lattice.

Open problem

S, T : Σ_1 -sound r.e. extensions of PA. $\mathcal{D}_S \simeq \mathcal{D}_T$?

- Interpretability logic (Visser, 1990)(Shavrukov, 1988)(Berarducci, 1990)

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Propositional modal logic ILM

ILM = GL + the following axioms:

- $\Box(A \rightarrow B) \rightarrow A \triangleright B$;
- $A \triangleright B \wedge B \triangleright C \rightarrow A \triangleright C$;
- $A \triangleright C \wedge B \triangleright C \rightarrow (A \vee B) \triangleright C$;
- $A \triangleright B \rightarrow (\Diamond A \rightarrow \Diamond B)$;
- $\Diamond A \triangleright A$;
- $A \triangleright B \rightarrow ((A \wedge \Box C) \triangleright (B \wedge \Box C))$.

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- $\Diamond A \triangleright A$;
- $A \triangleright B \rightarrow ((A \wedge \Box C) \triangleright (B \wedge \Box C))$.

Theorem(Shavrukov,Berarducci)

$\forall A, (\text{ILM} \vdash A \Leftrightarrow A \text{ is arithmetically valid}).$

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