# Wandering around a corner of Axiomatic Set Theory

# Deepest Appreciations to Dr. Kakuda

By Yasuo Kanai Yamato University

## Bibliography

- **1** Introduction to Mathematical Logic, Elliott Mendelson, Chapman and Hall/CRC
- 2 The Consistency of the Continuum Hypothesis. Gödel, K., Princeton University Press, Princeton, (1940).

- 3 Some strong axioms of infinity incompatible with the axiom of contructibility, Frederick Rowbottom, Annals of Mathematical Logic, Volume 3, No. 1 (1971) pp. 1-44
- **4** Boolean-valued Models and Independence Proofs in Set Theory, J.L.Bell, Oxford University Press (1978/1/12)

- **5** Set Theory (The Third Millennium Edition, revised and expanded), Thomas Jech, Springer Monographs in Mathematics
- 6 Precipitous ideals, T. Jech, M. Magidor, W. Mitchell and K. Prikry, Journal of Symbolic Logic 45 (1980), pp.1–8

# 1. Ideals on Cradinals

**Definition 1.** Let  $\kappa$  be any regular uncountable cardinal and I a subset of  $P(\kappa)$ .

I is said to be a *non-trivial ideal on*  $\kappa$  if it satisfies that

- 1)  $\{\xi\} \in I$ , for each  $\xi < \kappa$ ,
- 2)  $X, Y \in I$  implies  $X \cup Y \in I$ ,
- 3)  $X \in I$ ,  $Y \in P(\kappa)$  implies  $X \cap Y \in I$ .

The *dual filter* of I is denoted by  $I^*$ .

**Definition 2.** Let I be a non-trivial ideal on a regular uncountable cardinal  $\kappa$ .

Let  $\lambda$  be any cardinal.

- (1) I is said to be  $\lambda$ -complete
- if for any family  $\{X_{\xi} \in I \mid \xi < \mu \}$  of cardinality  $\mu < \lambda$ ,  $\cup X_{\xi}$  is in I.
- (2) *I* is said to be *normal*
- if for each family  $\{X_{\xi} \in I \mid \xi < \kappa \}$  of I,  $\nabla X_{\xi} = \{ \alpha < \kappa \mid \text{for some } \beta < \alpha, \alpha \in X_{\beta} \} \text{ is in } I.$
- (3) Moreover, if  $X \in I$  or  $\kappa X \in I$  for each  $X \subseteq \kappa$ , I is said to be a *prime ideal* and the dual filter said to be an *ultrafiler on*  $\kappa$ .

**Denition 3.** Given an ultrafilter U on I and L-structures  $A_i$ ,  $i \in I$ , the *ultraproduct*  $\Pi_U A_i$  is the unique L-structure B such that:

- (1) The universe of *B* is the set  $B = \prod_{U} A_{i}$ .
- (2) For each atomic formula  $\varphi(x_1, \ldots, x_k)$  which has at most one symbol from the vocabulary L, and each  $f_1, \ldots, f_k \in \Pi_{i \in I} A_i$ ,

$$B \models \varphi(f_{IU}, \ldots, f_{kU}) \text{ iff } \{i \in I \mid A_i \models \varphi(f_1(i), \ldots, f_k(i))\} \in U.$$

The *ultrapower* of an *L*-structure *A* modulo *U*, denoted by  $\text{Ult}_I(A, U)$ , is defined as the ultraproduct  $\Pi_{IJ}A = \Pi_{IJ}A_i$  where  $A_i = A$  for each  $i \in I$ .

**Definition 4. (Solovay 7)**Suppose that I is an ideal on  $\kappa$ . Then,  $P(\kappa)/I$  is a Boolean algebra. If we force with  $P(\kappa)/I$  (without the zero element) then we get a V-ultrafilter  $G_I \subseteq P(\kappa)$ .

With this ultrafilter we can take the ultraproduct  $\mathrm{Ult}_{\kappa'}(V',G_I)$  using functions  $f\in ({}^{\kappa}V)'$  in V'. This gives us a generic elementary embedding

$$j: V \to \mathrm{Ult}_{\kappa'}(V',G_I).$$

An ideal *I* is *precipitous* if this generic ultrapower is always well-founded.

#### Results

**Theorem 1. (7)** Let  $\lambda$  be any cardinal  $\leq \kappa^+$  and I a  $\kappa$ -complete non-trivial ideal on  $\kappa$ . Then the following are equivalent.

- (1) I is  $\lambda$ -saturated.
- (2) Each member of  $Ult_{\kappa'}(V',G_I)$  can be represented by a functional of cardinality less than  $\lambda$ .
- (3) Each ordinal less than j(sat(I)') in  $\text{Ult}_{\kappa'}(V', G_I)$  can be represented by a functional of cardinality less than  $\lambda$ .

In the above, a functional F is a set of functions such that the set  $\{ dom(f) | f \in F \}$  is I-disjoint.

And, j is the canonical elementary embedding of V' into  $\text{Ult}_{\kappa'}(V', G_I)$  in  $V[G_I]$ , and sat(I) is the least cardinal  $\mu$  such that I is not  $\mu$ -saturated.

Recall that x' is a P-name for x in the ground model for any notion of forcing P.

Since each functional of cardinality  $\leq \kappa$  is equal to an ordinary function in the generic extension  $V[G_I]$ , we can have that:

**Corollary.** (7) A  $\kappa$ -complete non-trivial ideal I is  $\kappa^+$ -saturated if and only if each ordinal in  $\text{Ult}_{\kappa'}(V', G_I)$  can be represented by an ordinary function in V.

## = 集合論のはじまり =

カントール 1

三角級数の表す関数の 一意性を証明する (1870) → 含む関数の三角級数表現の 一意性(1872) (derived set) → 超限順序数,基数(1895,1897)

実数全体の非可

算性(1873)



超越数全体の 非可算性 ハウスドルフ 非可算順序型

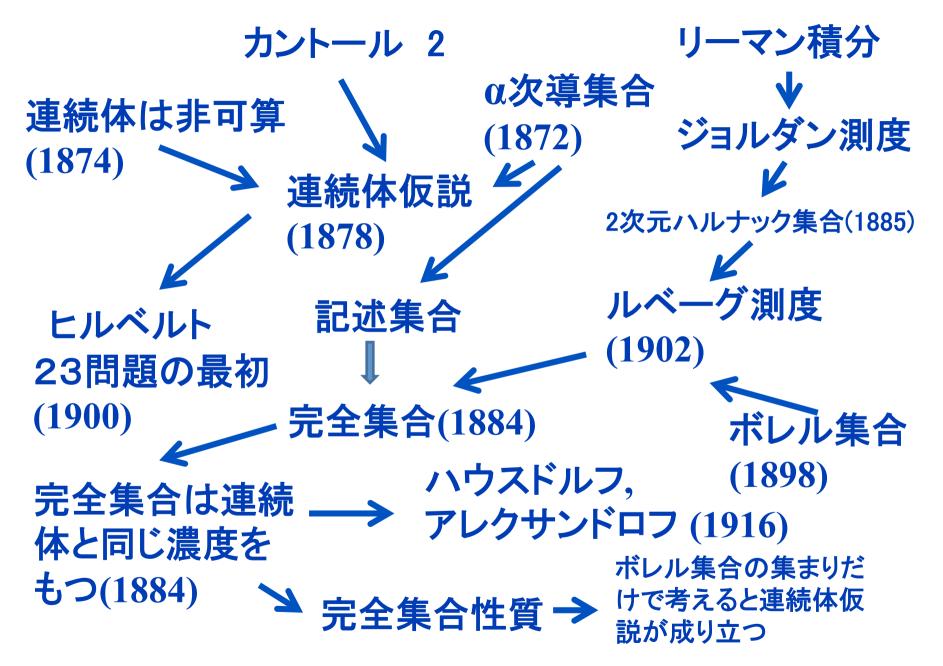
(1908)



巨大基数理論の起こり



ボレル集合の超 限階層(1905)



# デデキント カントール 3

集合による数学の記述(1871)

ルベーグ積 分(1902)

ベールの関数.

カテゴリ(1899)

デデキントの(切 断による)実数の 定義(1872) ボレル集合:実数 の記述部分集合 (1898)

フレーゲの → ツェルメロの選 論理の形式 → 択公理の考察 的体系(1879) → (1904)

ラッセルの `パラドックス (1901)

クラトフスキの順序対 ウィナーの順序対 ハウスドルフの順序対 (1914) ヴィタリ(1908) 選択公理より(ルベーグ)可測でない集合を ツェルメロの選 択公理の考察 (1904) (置換公理, 分離公

理)•整列可能定理

ツェルメロの集 合論の公理 (1908)

ツェルメロ集合論

- 1) 外延性公理
- 2) 空集合の公理
- 3) 対集合の公理
- 4) 和集合の公理
- 5) 冪集合の公理
- 6) 選択公理
- 7)無限公理
- 8) 分離公理

ハウスドルフ(1914)

1914年に『集合論基礎』

(Grundzüge der Mengenlehre)

を出版

導く

フランケル(1921-22),

スコーレム(1923)

集合論の公理

ツェルメロの集合論の公理+置換公理

超限順序数 --- 超限帰納法 ----

ミリマノフの 累積的階層 (1917)

## **Bibliographies**

7 Real-valued measurable cardinals Axiomatic Set Theory, R.M. Solovay (Proc. Sympos. Pure Math., Vol. XIII, Part I, Univ. California, Los Angeles, Calif., 1967), Amer. Math. Soc., Providence, R.I. (1971), pp. 397–428

- 8 Saturated ideals in Boolean extensions, Yuzuru Kakuda, Nagoya Math. J. Volume 48 (1972), 159-168.
- 9 On a condition for Cohen extensions which preserve precipitous ideals, Yuzuru Kakuda, The Journal of Symbolic Logic, Volume 46(2): 296-300, 1981.
- ① Saturation of Ideals and Pseudo-Boolean Algebras of Ideals on Sets, Yuzuru Kakuda, Mathematics seminar notes, Volume 6(2), 269-321, 1978.

- ① On splitting stationary subsets of large cardinals, Structural properties of ideals, J. E. Baumgartner, A. D. Taylor, and S. Wagon, The Journal of Symbolic Logic, Volume 42: 203-214, 1977.
- ② Saturation properties of ideals in generic extensions I · II, J.E.Baumgartner and A.Taylor, Trans. Amer. Math. Soc., vol.270, pp557-574 (1982).
- (3) Flipping properties: A unifying thread in the theory of large cardinals, F.G.Abramson, L.A.Harrington, E.M. Kleinberg, W.S. Zwicker, Annals of Mathematical Logic, Volume 12, pp 25-58,1977,

- The evolution of large cardinal axioms in set theory, A. Kanamori and M. Magidor, in: 'Higher set theory (G. Müller and D. Scott, eds)' Lecture Notes in Mathematics, vol.669, Springer-Verlag, Berlin, pp99-275,1978,
- (5) Mathematical Logic, Joseph R. Shoenfield, A K Peters/CRC Press

# 2. Properties of Ideals

**Definition 5.** Let I be a non-tricial  $\kappa$ -complete ideal on  $\kappa$ . Then

- 1) I is said to be  $\lambda$ -saturated if there is no I-disjoint subfamily of  $P(\kappa) I$  (, this family is denoted by  $I^+$ ) of cardinality  $\lambda$ , where I-disjoint means that  $A \cap B$  is in I for any pair (A,B) of distinct elements of I.
- 2) *I* is said to be *completive* if the quotient algebra  $P(\kappa)/I$  is complete.
- 3) I is said to be  $\lambda$ -distributive if the quotient algebra  $P(\kappa)/I$  is  $\lambda$ -distributive.

Here we introduce the special definable ideals.

$$BD_{\kappa} = \{ X \in P(\kappa) \mid |X| < \kappa \}$$
  
This ideal is *the bounded ideal* on  $\kappa$ .

A subset C of  $\kappa$  is said to be a closed unbouded set if it satisfies that for any limit ordinal  $\alpha < \kappa$ ,  $\sup(C \cap \alpha) = \alpha$  and for any  $\xi < \kappa$ , there is  $\alpha \in C$  with  $\xi < \alpha$ .

$$NS_{\kappa} = \{ X \in P(\kappa) \mid for some \\ closed unbounded set C, X \cap C = \emptyset \}$$
  
This ideal is the non-stationary ideal on  $\kappa$ .

**Definition 6.**  $\kappa$  is said to be a *stationary cardinal* if  $\{ \mathbf{M}(X) \mid X \in \mathbf{NS}_{\kappa}^+ \}$  generates a proper  $\kappa$ -complete normal filter.

In the above, **M** is an operation defined by  $\mathbf{M}(X) = \{ \xi < \kappa \mid cf(\xi) > \omega \text{ and } X \cap \xi \in NS_{\xi}^{+} \}$ 

**Definition 7**. (1) An ideal J on  $\kappa$  is said to be an  $\mathbf{M}$ -ideal if  $A \in J^*$  implies  $\mathbf{M}(A) \in J^*$ .

(2) An extension J of I is said to be  $\mu$ -I-closed generated by a subset S of I<sup>+</sup>

if 
$$J = \{ X \subseteq \kappa \mid for some A \subseteq S, |A| < \mu$$
  
 $and [X]_I \le \bigvee_{Y \in A} [Y]_I \}.$ 

**Definition 8.** We define a sequence in  $NS_{\kappa}$ , called a *canonical Mahlo sequence*  $< M_{\alpha} : \alpha < \theta(\kappa) > \text{ on } \kappa$ , defined by recursion on  $\alpha$  as follows:  $M_0 = \kappa$ ; if  $\alpha = \beta + 1$  and  $\mathbf{M}(M_{\beta})$  is stationary in  $\kappa$ ,  $M_{\alpha} = \mathbf{M}(M_{\beta})$ ; and if  $\alpha$  is limit,  $M_{\alpha}$  is any stationary subset of  $\kappa$  such that  $[M_{\alpha}]_{NS\kappa} = \bigwedge_{\beta < \alpha} [M_{\beta}]_{NS\kappa}$ . If such a set does not exist,  $M_{\alpha}$  is left undefined and set  $\theta(\kappa) = \alpha$ .

# **Definition 9.** A $\lambda$ -closed Mahlo family is a sequence $N = \langle A_{\alpha} : \alpha \leq \delta \rangle$ of subsets of $NS_{\kappa}$ satisfying the following conditions.

- (1)  $A_0 = NS_{\kappa}^*$ , for all  $\alpha < \delta$ ,  $\emptyset \notin A_{\alpha}$ ,  $A_{\alpha} \neq A_{\alpha+1}$  and  $A_{\alpha} \subseteq A_{\alpha+1}$ .
- (2) For each  $\alpha < \delta$ ,  $X \in A_{\alpha+1}$  iff  $X \in A_{\alpha}$  or for some  $Y \in A_{\alpha}$ ,  $\mathbf{M}(Y) X \in NS_{\kappa}$ .
- (3) If  $\alpha$  is a limit ordinal less than  $\delta$ ,  $X \in A_{\alpha}$  iff for some subset B of  $\bigcup_{\beta < \alpha} A_{\beta}$  with  $|B| < \lambda$  and for some  $Y \in NS_{\kappa}^+$ ,

$$Y - X \in NS_{\kappa}$$
 and  $[Y]_{NS\kappa} = \bigwedge_{Z \in B} [Z]_{NS\kappa}$ .

(4) For any set  $B \subseteq \bigcup_{\alpha \leq \delta} A_{\alpha}$  with  $|B| < \lambda$ , if  $\bigwedge_{Z \in B} [Z]_{NS\kappa}$  exists and is equal to  $[X]_{NS\kappa}$ , then  $X \in A_{\alpha}$  for some  $\alpha \leq \delta$ .

δ is *the length of* N denoted by l(N), and N is simply called *a Mahlo family* if  $\lambda = |NS_{\kappa}^{+}|^{+}$ .

#### **Definition 10.**

- (1)  $\kappa$  is said to be *greatly Mahlo* if  $\theta(\kappa) \ge \kappa^+$ .
- (2)  $\kappa$  is said to be *super Mahlo* if there is a Mahlo family.

#### Results

**Theorem 2.** (13) Let  $\lambda$  be any cardinal  $\geq \kappa^+$ . Then, there is a  $\lambda$ -closed Mahlo family if and only if  $\kappa$  bears a  $\lambda$ - $NS_{\kappa}$ -closed M-ideal.

**Corollary.** (13) (1)  $\kappa$  is super Mahlo if and only if  $\kappa$  bears a  $NS_{\kappa}$ -closed M-ideal. (2)  $\kappa$  is greatly Mahlo if and only if  $\kappa$  bears a  $\kappa^+$ - $NS_{\kappa}$ -closed, i.e. normal M-ideal.

**Lemma 3. (13)** Let J be an ideal on  $\kappa$  extending  $NS_{\kappa}$ . Then we have:

- (1) J is  $\kappa$ -complete if and only if J is  $\kappa$ - $NS_{\kappa}$ -closed.
- (2) J is normal and  $\kappa$ -complete if and only if J is  $\kappa^+$ - $NS_{\kappa}$ -closed

Lemma 4. (Baumgartner, Taylor and Wagon ① or Kakuda ②) If I is an M-ideal on  $\kappa$ , then for any stationary subset A of  $\kappa$ ,  $I \neq NS_{\kappa} A$ .

# Theorem 5. (Baumgartner, Taylor and Wagon ① or Kakuda ①)

- (1) I is  $\kappa$ -saturated if and only if the only non-trivial  $\kappa$ -I-closed ideals extending I are of the form  $I \cap A$  for some  $A \in I^+$ .
- (2) Assume that I is normal. Then I is  $\kappa^+$ -saturated if and only if the only non-trivial  $\kappa^+$ -I-closed ideals extending I are of the form  $I \upharpoonright A$  for some  $A \in I^+$ .

**Theorem 6.** (12) Let  $\lambda \ge \kappa^+$  be any cardinal. I is  $\lambda$ -completive if and only if whenever J is a non-trivial |D|-I-closed extension of I generated by  $D \subseteq I^+$  with  $|D| < \lambda$ ,  $J = I \upharpoonright A$  for some  $A \in I^+$ .

**Corollary 1. (12)** I is completive if and only if the only non-trivial I -closed ideals extending I are of the form  $I \upharpoonright A$  for some  $A \in I^+$ .

Corollary 2. (12) If  $\kappa$  is a super Mahlo cardinal, then the non-stationary ideal  $NS_{\kappa}$  is not completive.

Assume that  $\kappa$  is a stationary cardinal and H the  $\kappa$ -complete normal filter generated by  $\{ \mathbf{M}(X) \mid X \in \mathbf{NS}_{\kappa}^{+} \}.$ 

Let  $A = \{ \alpha < \kappa \mid \alpha \text{ is weakly inaccessible } \}$ . Then, we have the following.

**Lemma 7.** (12) A is stationary in  $\kappa$ , in fact, is in  $H^*$ .

- **Theorem 8. (12)** Every stationary cardinal is super Mahlo.
- **Corollary**. (12) (1) If  $\kappa$  is a weakly compact cardinal, then  $P(\kappa) / NS_{\kappa}$  is not complete.
- (2) If  $\kappa$  carries a  $\kappa$ -complete  $\kappa$ -saturated ideal, then  $P(\kappa) / NS_{\kappa}$  is not complete.

**Theorem 9.** (13) Assume that  $\kappa$  is a strongly compact cardinal, I is a non-trivial normal  $\kappa$ -complete ideal on  $\kappa$  and B is an I-regular complete Boolean algebra. Then if I is completive, it is B-valid that for some  $A \subseteq \kappa^{\hat{}}$ ,  $J \upharpoonright A$  is completive.

Corollary 1. (13) Let M be a transitive model of ZFC and in M, let  $\kappa$  be a strongly compact cardinal and  $\lambda$  a regular uncountable cardinal less than  $\kappa$ . Then there exists a generic extension M[G] in which

 $\kappa = \lambda^+$  and  $\kappa$  carries a non-trivial  $\kappa$ -complete ideal I which is completive but not  $\kappa^+$ -saturated.

**Corollary 2. (13) )(2000)** If ZFC + ``there is a strongly compact cardinal" is consistent, so is ZFC + ``there is a regular uncountable cardinal  $\kappa$  which bears a non-trivial  $\kappa$ -complete ideal I such that the quotient algebra  $P(\kappa)/I$  is complete but not  $\kappa$ <sup>+</sup>-saturated.

It should be noticed that if  $\kappa$  carries a non-trivial  $\kappa$ -complete ideal I which is completive but not  $\kappa^+$ -saturated, then  $\kappa^+ < 2^{\kappa}$ .

#### Theorem 10. (Kanamori and Shelah(1995))

If ZFC + "there is a Woodin cardinal" is consistent, then so is ZFC + "there is a completive ideal I on  $\aleph_I$ ,  $2^{\aleph_0} = \aleph_I$  and  $2^{\aleph_1} = \aleph_3$  (hence I is not  $\aleph_2$ -saturated)". **Theorem 11.** (Gitik and Shelah(1997)) For any regular cardinal  $\kappa \geq \aleph_2$ ,  $NS_{\kappa}$  is not  $\kappa^+$ -saturated.

#### **Problems**

Is it true that  $NS_{\lambda}$  is not completive for any regular cardinal  $\lambda \geq \aleph_2$ ?

# = 測度問題 =

ボレル集合はベール関数による開区間の逆像

ルジン、ススリン

の解析集合(1917)

ルベーグ可測

ルベーグの測度 問題(1904)

区間 I=[0,1] のすべての部分 集合上で定義された(負の値を とらない)測度 m で次の条件を 満たすものが存在するか?

- 1) *A と B が*(平行移動で)合同 ならば *m*(*A*) = *m*(*B*)
- 2) m(X) = 1
- 3)  $m(U_{r=1}^{\infty}S_n) = \sum_{r=1}^{\infty} m(S_n)$  ただし、 $S_n$  は互いに共通部分をもたない。(完全加法性)

**ジョルダン '測度' (1902)** ジョルダンの面積 = ジョルダン '測度' J は 次の2つを満たす。

- (1)  $J(A) \ge 0$ ,  $J(\emptyset) = 0$
- (2)  $A \cap B = \emptyset$  ならば  $J(A \cup B) = J(A) + J(B)$ (有限加法性) この2つが、「面積とは何か?」の答えである。(ルベーグ「積分・長さおよび面積」)

→ ハウスドルフ 測度の 大域的問題(1914) ₃

## ハウスドルフ 測度の大域的問題(1914)

n次元ユークリッド空間の各有界集合 E に負でない実数 m(E) を対応させる、次の条件を満たす関数 m は存在するか?

- 1) m(I) = 1 ただし, I は単位立法体
- 2)  $E_1 \cap E_2 = \emptyset$   $\text{tising} m(E_1 \cup E_2) = m(E_1) + m(E_2)$
- 3)  $E_1 \geq E_2$  が合同ならば  $m(E_1) = m(E_2)$

バナッハの n = 1,2 に対する 肯定的解決(1923)

**V** 

バナッハ, タルスキーの定理(1924)

選択公理を仮定して、n≥3では上記ハウスドルフの問題を否定的に解決 =バナッハ-タルスキーの逆理 〈ルベーグ可測〉 ボレル集合(1898) **↓** 

ルジン, ススリン の解析集合(1917)

**V** 

ルジン, シェルピン スキーの射影集合 (1925)

### バナッハ, クラトフスキーの定理(1929)

連続体仮説を仮定すると. 区間 I=[0.1] のすべての部分集合上で定義された完全 加法的測度 mで → 連続体仮説への疑念

- 1) 1点の測度は 0 である
- (I) = 1 を満たすものは存在しない。

連続体仮説を仮定すると、数直線上で定 義された非可測関数で、高々可算集合を除 いて連続となるものが存在する。

### ウラムの定理(1930)

集合 *E* の濃度が &<sub>1</sub>, &<sub>2</sub>, &<sub>3</sub>, ···, &<sub>n</sub>,···, &<sub>ω</sub> の いずれであっても、Eのすべての部分集合 上で定義された完全加法的測度 mで

- 1) 1点の測度は 0 である
- (I) = 1 を満たすものは存在しない。

測度問題の巨大 基数の必要性

#### = イデアルとは =

デデキントのイデアル論(1871) ボレルのσ-イデアル (強ルベーグ測度零 ウラムのω上の イデアル) (1919) 超フィルター(1929) ブール環における イデアル(1929) ウラムのフィルター, 超フィルター(1930) ストーン(1936) ブルバキの一員 ブール環におけるイ であるカルタンのイ デアル分析 デアル (1936) タルスキーのイデアル(1940?)

## 3. Distributive Ideals on Boolean Algebras

**Definition 11.** Let *B* be any Boolean algebra and *I* a subset of *B*.

I is said to be an *ideal on B* if it satisfies that

- 1)  $0 \in I$ ,
- 2)  $a, b \in I$  implies  $a \lor b \in I$ ,
- 3)  $a \in I$ ,  $b \in B$  implies  $a \land b \in I$ , where 0 is the least element, and  $\lor$  (join) and  $\land$  (meet) are the Boolean operations.

#### Definition 12. (Smith & Tarski (1956))

A Boolean algebra A is  $(\alpha,\beta)$ -distributive if the following is satisfied: Given any double sequence  $a \in A^{\alpha \times \beta}$  such that all the sums  $\sum_{\eta < \beta} a_{\xi,\eta}$  for  $\xi < \alpha$ , their product  $\prod_{\xi < \alpha} \sum_{\eta < \beta} a_{\xi,\eta}$ , and all the products  $\prod_{\xi < \alpha} a_{\xi,f(\xi)}$  for  $f \in \beta^{\alpha}$  exist, then the sum  $\sum_{f \in \beta^{\alpha}} \prod_{\xi < \alpha} a_{\xi,f(\xi)}$  also exists, and we have  $\prod_{\xi < \alpha} \sum_{\eta < \beta} a_{\xi,\eta} = \sum_{f \in \beta^{\alpha}} \prod_{\xi < \alpha} a_{\xi,f(\xi)}$ .

#### Generalized Distributivity

**Definition 13.** Let B be any Boolean algebra and f any function into P(B).

*B* is  $\langle \lambda, f \rangle$ -distributive if *B* satisfies that for all *b* in *B*, if for each *a* in dom(*F*)  $0 < b \le \bigvee f(a)$ , then there is *v* in  $\prod f$  such that for any *t* in  $[\text{dom}(f)]^{<\lambda}$   $(b \land \bigwedge_{a \in t} v(a) > \mathbf{0})$ .

#### Quote from my doctoral Dissertation

When we construct and develop a powerful set theory based on Zermelo-Fraenkel set theory, it happens quite often to find out one condition, say  $h(\alpha)$ , from each set of conditions, say  $A_{\alpha}$ , whose disjunction is consistent (i.e.,  $\vee_{\alpha<\kappa}A_{\alpha}=1$  in Boolean terms) and arrange them into one consistent condition (i.e.,  $\wedge_{\alpha<\kappa}h(\alpha)>0$  in Boolean terms).

#### Results

**Lemma 12. (Pierce)** Let f be any function and let I be a  $\lambda$ -complete ideal in a  $\mu$ -complete f-distributive Boolean algebra B, where  $\lambda$  and  $\mu$  are cardinals such that  $|\Sigma f| < \lambda$  and  $|\Pi f| < \mu$ . Then the following are equivalent.

- (1) *I* is *f*-distributive.
- (2) I is  $|\Pi f|^+$ -complete.
- (3)  $|\Pi f| < \lambda \text{ holds.}$

**Corollary.** Let f be any function on a cardinal  $\eta$  and let I be a  $\lambda$ -complete ideal in a  $\mu$ -complete  $\langle v, f \rangle$ -distributive Boolean algebra B, where  $\lambda$ ,  $\mu$  and  $\nu$  are cardinals such that  $\nu < \eta$ ,  $|\Sigma f \upharpoonright X| < \lambda$  and  $|\Pi f \upharpoonright X| < \mu$  for all X in  $P_{<\nu}(\eta)$ . Then if I is  $\langle v, f \rangle$ -distributive,  $\sup_{X \in P^{<\nu}(\eta)} |\Pi f \upharpoonright X|^+ < \lambda$  holds.

**Theorem 13. (14)** The following are equivalent in *ZF* set theory.

- (1) The  $\kappa$ -Axiom of Choice.
- (2) Every power set algebra is  $\langle 2, \kappa \rangle$ -distributive.

- **Theorem 14. (14)** The following are equivalent in *ZF* set theory.
- (1) The Principle of Dependent Choice.
- (2) Every Boolean algebra is  $\langle \omega, \omega \rangle$ -distributive.

**Theorem 15. (11)** Let  $\kappa$  be any cardinal and let B be a  $\kappa$ -complete Boolean algebra of cardinality  $\lambda$ . Then the following are equivalent.

- (1) There exists a  $\kappa$ -complete prime ideal in B.
- (2) There exists a  $\langle \kappa, C_{\lambda,2} \rangle$ -distributive ideal in B.

In the above,  $C_{\lambda,2}$  indicates the function on  $\lambda$  whose range is the singleton  $\{2\}$ .

# Corollary. (11) (F.G. Abramson, L.A. Harrington, E.M. Kleinberg and W.S. Zwicker, C.A. DiPrisco and W.S. Zwicker ③) Let κ be any regular uncountable cardinal. Then we have:

- (1)  $\kappa$  is weakly compact if and only if BD $\kappa$  is  $\langle \kappa, C_{\kappa,2} \rangle$ -distributive.
- (2)  $\kappa$  is measurable if and only if BD $\kappa$  is  $\langle \kappa, C_{2^{\kappa}, 2} \rangle$ -distributive.
- (3)  $\kappa$  is strongly compact if and only if for each regular  $\lambda \geq \kappa$ , BD $\lambda$  is  $\langle \kappa, C_2 \lambda_2 \rangle$ -distributive.

#### **Theorem 16. (11)** The following are equivalent.

- (1) Whenever  $\sigma$  is a function on S satisfying the conditions (\*) and  $< t_a : a \in S >$  is a sequence with  $t_a \subseteq \sigma(a)$  for each  $a \in S$ , there exists a set t such that for any  $a \in S$  there is a  $b \in S$  with a < b and  $t \cap \sigma(a) = t_b \cap \sigma(a)$ .
- (2) There exists a fine  $\kappa$ -complete  $\langle \kappa, f \rangle$  distributive ideal on S for any  $f: S \to \kappa$ .

**Theorem 17.** (12) Let  $\sigma$  be any function of S into P (T) such that for a, b in S,  $\mu_a = |P(\sigma(a))| < \kappa$  and if  $a <_S b$ then  $\sigma(a) \subseteq \sigma(b)$ . Assume that I is a  $\leq_S$ -fine  $\kappa$ -complete  $<_{S}$ -normal  $\langle 3, f \rangle$ -distributive ideal on S, where f is the function on  $H = (\{0\} \times S) \cup (\{1\} \times T)$ defined by  $f(0,a) = P(\sigma(a))$  and f(1,t) = T. Moreover, we assume that  $R = \{ a \in S \mid cf_{<T}(\sigma(a)) > \aleph_0 \} \text{ has positive } I \text{ -measure,}$  $\{ a \in S \mid t \in \sigma(a) \} \text{ has } I \text{ -measure one for each } t \in T$ and if g is a function on  $A \in I^+$  with  $g(a) \in \sigma(a)$ then there exists a subset B of A of positive I -measure such that  $g \upharpoonright B$  is constant. Then if X is a  $\leq_T$ -stationary subset of T,  $R - M_{\sigma}(X)$  has I-measure zero.

In the above, 
$$M_{\sigma}(X)$$
 is defined by  $M_{\sigma}(X) = \{ a \in S \mid cf_{\leq T}(\sigma(a)) > \aleph_0 \}$  and  $X \cap \sigma(a)$  is  $\leq_T$ -stationary in  $\sigma(a) \}$ 

In Theorem 17, if we put  $T = P_{<\mu}(\lambda)$  and  $\sigma(a) = P_{<\mu}(a)$ , we get the next theorem.

**Theorem 18. (12)** Let  $S = P_{< n}(\lambda)$  and  $T = P_{< u}(\lambda)$ , where  $\aleph_0 < \mu < \eta \le \kappa \le \lambda$  and  $2^{(\nu \le \mu)} < \kappa$  for any  $\nu < \eta$ . Assume that there exists a  $\leftarrow$ -fine  $\kappa$ -complete <-normal  $\langle \aleph_I, C_{S_T} \rangle$ -distributive ideal I on S, where  $\tau = max. \{ \lambda^{<\mu}, 2^{(\eta < \mu)} \}.$ Then, if X is a  $<_T$ -stationary subset of T,  $M_{\sigma^{I}}(X) = \{ a \in S \mid cf_{<T}(P_{<\mu}(a)) > \aleph_{0} \}$ and  $X \cap P_{< \mu}(a)$  is  $<_{T}$ -stationary in  $P_{< \mu}(a)$  } has I -measure one.

Theorem 19. (Feng and Magidor) Assume that  $\kappa$  is  $\lambda$ -supercompact with  $\lambda \geq \kappa$  regular.

Then for every stationary  $S \subseteq P_{<\omega^{l}}(\lambda)$  and for every tight and unbounded  $A \subseteq P_{<\kappa}(\lambda)$ , there is an  $X \in A$  such that  $S \cap P_{<\omega^{l}}(X)$  is stationary in  $P_{<\omega^{l}}(X)$ .

#### **Problems**

How strong is the condition that there is a  $\kappa$ -complete non-trivial  $\langle \kappa, C_{2^{\kappa}, \eta} \rangle$ -distributive ideal on  $\kappa$  with  $\kappa \leq \eta$ ?

#### Bibliography

- **16** Formal Logic: or, The Calculus of Inference, Necessary and Probable, De Morgan, A., Taylor and Walton, London, 1847.
- **1** Mathematical Analysis of Logic, Boole, G., MacMillan, Barclay & MacMillan, Cambridge, 1847. Reprint Open Court, La Salle, 1952.
- (18) An Investigation of the Laws of Thought on Which are Founded the Mathematical Theories of Logic and Probabilities, Boole, G., Walton and Maberly, London, 1854.

- Ueber die von drei Moduln erzeugte Dualgruppe, Dedekind, Mathematische Annalen, vol. 53 (1900), pp. 371-403?
- The theory of representations for Boolean algebras, M.H.Stone, Transactions of the American Mathematical Society, vol. 40 (1936), pp. 37-111
- The theory of representations for Boolean algebras, M.H.Stone, Transactions of the American Mathematical Society, vol. 40 (1936), pp. 37-111

- 22 Distributive postulates for systems like Boolean algebras, George D. Birkhoff and Garrett Birkhoff, Transactions of the American Mathematical Society, Vol. 60, No. 3 (1956), pp. 3-11
- **23** A Distributivity Condition for Boolean Algebras, Edgar C. Smith, Jr., Annals of Mathematics, Second Series, Vol. 64, No. 3 (1956), pp. 551-561
- 24 Distributivity in Boolean algebras, R. S. Pierce, Pacific Journal of Mathematics, Vol. 7, No. 1 (1957), pp. 983-992

- 25 Higher Degrees of Distributivity and Completeness in Boolean Algebras, E. C. Smith, Jr. and Alfred Tarski, Transactions of the American Mathematical Society, Vol. 84, No. 1 (1957), pp. 230-257
- **26** The independence of certain distributive laws in Boolean algebras,
- D. Scott, Trans. Amer. Math. Soc. vol. 84 (1957),pp.258-261
- ② Distributivity and representability, R. Sikorski, Fund. Math., Vol. 48 (1957), pp. 105-117

## 4. Cardinal Arithmetic

**Definition 14. (1983?)** Let  $\kappa$  be a measurable cardinal. If  $F_1$  and  $F_2$  are non-trivial  $\kappa$ -complete normal ultrafilters on  $\kappa$  and define a relation < by :  $F_1 < F_2$  if and only if  $F_1 \in \text{Ult}_{\kappa}(V, F_2)$ . This relation well-founded, and can give the rank of a non-trivial  $\kappa$ -complete normal ultrafilter U on  $\kappa$  in <. This rank is called the order of U, and the hight of < is called the order of  $\kappa$ , denoted by  $o(\kappa)$ .

#### Results (1)

#### **Theorem 20.(Cantor (1891))**

For every set X, |X| < |P(X)|

#### **Theorem 21.(Cantor, Bernstein(1))**

If  $|X| \le |Y|$  and  $|X| \ge |Y|$ , then |X| = |Y|.

#### Theorem 22.(Bernstein(1901))

For every ordinal  $\alpha$  and  $\mu$ ,

$$\aleph_{\mu}^{\aleph}{}_{\alpha} = 2 \aleph_{\alpha} \cdot \aleph_{\mu}.$$

But this is incorrect when  $\alpha = 0$  and  $\mu = \omega$ .

#### Theorem 23. (Hausdorff (1904))

For any ordinals  $\alpha$  and  $\beta$ ,  $\aleph_{\alpha+1}^{\aleph\beta} = \aleph_{\alpha}^{\aleph\beta} \cdot \aleph_{\alpha+1}$ .

#### **Theorem 24.(Konig(1905))**

 $2 \aleph_0$  cannot equal  $\aleph_{\alpha+\omega}$ .

Theorem 25. (Konig  $\langle \gamma < \omega \rangle$  (1905), Jourdain  $\langle \gamma \geq \omega \rangle$  (1908), Zermelo  $\langle \gamma$  any set  $\rangle$  (1908))

For any  $\alpha < \gamma$   $m_{\alpha} < n_{\alpha}$ ,  $\sum_{\alpha < \gamma} m_{\alpha} < \prod_{\alpha < \gamma} m_{\alpha}$ .

#### Theorem 26. (Gödel (1938))

If ZF is consistent, so is ZFC + GCH.

#### **Theorem 27. (P. Cohen (1963))**

If ZF is consistent, so are  $ZF + \neg AC$  and  $ZFC + \neg CH$ .

#### **Theorem 28. (W. Easton (1964))**

Assume *GCH* and *F* is a class function from the class of regular cardinals to cardinals such that for regular crdinals  $\kappa$  and  $\lambda$  with  $\kappa \leq \lambda$ ,  $F(\kappa) \leq F(\lambda)$  and  $\kappa < cf(F(\kappa))$ . Then there is a forcing extension preserving cofinalities in which  $2^{\kappa} = F(\kappa)$  for every regular cardinal  $\kappa$ .

The simplest possibility is when  $2^{cf(\kappa)} < \kappa$  implies  $\kappa^{cf(\kappa)} = \kappa^+$ . This is known as the **Singular Cardinal Hypothesis** (*SCH*).

**Theorem 29.** (J.Silver (1975)) If  $\kappa$  is a singular cardinal of uncountable cofinality, and if  $2^{\lambda} = \lambda^{+}$  for all  $\lambda < \kappa$ ,  $2^{\kappa} = \kappa^{+}$ .

Theorem 30. (Galvin and Hajnal (1975)) If  $\aleph_{\lambda}$  is a strong limit cardinal of uncountable cofinality then  $2^{\aleph_{\lambda}} < \aleph^{(2\lambda)+}$ 

Theorem 31. (Jensen (1974)) If  $0^{\#}$  does not exist then every uncountable set of ordinals can be covered by a constructible set of the same cardinality

#### Theorem 32. (T. Jech and K. Prikry (1976))

Let  $\kappa$  be a regular uncountable cardinal which bears a  $\kappa$ -complete non-trivial  $\kappa$ +-saturated ideal. If  $2^{\lambda} = \lambda^+$  for all  $\lambda < \kappa$ , then  $2^{\kappa} = \kappa^+$ .

**Theorem 33.** The Covering Theorem shows that unless  $0^{\#}$  exists,  $2^{cf \kappa} < \kappa$  implies  $\kappa^{cf \kappa} = \kappa^{+}$ , i.e. **SCH** holds.

Thus in order to violate *SCH* we need large cardinals.

Theorem 34. (Solovay (1974)) If  $\kappa$  is a strongly compact cardinal and  $\lambda > \kappa$  is singular then  $\lambda^{cf\lambda} = \lambda^+$ . This means that the *SCH* holds above the least strongly compact cardinal.

**Theorem 35. (J.Silver)** If there is a supercompact cardinal, there is a transitive model **ZFC** in which  $\kappa$  is a strong limit cardinal,  $cf \kappa = \omega$ , and  $2^{\kappa} > \kappa^+$ .

#### Theorem 36. (Magidor)

If there is a supercompact cardinal, there is a transitive model ZFC in which  $\aleph_{\omega}$  is a strong limit cardinal and  $2^{\aleph\omega} > \aleph_{\omega+1}$ .

#### Theorem 37. (Magidor)

If there is a 2-huge cardinal, there is a transitive model **ZFC** in which **GCH** holds below  $\aleph_{\omega}$  and  $2^{\aleph\omega} = \aleph_{\omega+2}$ .

#### Theorem 38. (Magidor(1977), Shelah(1983))

Assume that there exists a supercompact cardinal.

- (1) There is a generic extension in which *GCH* holds below  $\aleph_{\omega}$  and  $2^{\aleph_{\omega}} = \aleph_{\omega+\alpha+1}$ , where  $\alpha$  is any countable ordinal.
- (2) There is a generic extension in which  $\aleph_{\omega^1}$  is strong limit and  $2^{\aleph_{\omega_1}} = \aleph_{\omega^{1+\alpha+1}}$ , where  $\alpha$  is any ordinal  $< \omega_2$ .

#### Theorem 39. (Woodin, Gitik (1989))

If there is a measurable cardinal  $\kappa$  of Mitchell order  $\kappa^{++}$ , then there exists a generic extension in which *GCH* holds below  $\aleph_{\omega}$  and  $2^{\aleph_{\omega}} = \aleph_{\omega+2}$ .

## Theorem 40. (S. Shelah(1987)) MM(Marutin's Maximum) implies RP.

#### Theorem 41. (S. Shelah(1989))

- 1. If  $\aleph_{\omega}$  is strong limit, then  $2^{\aleph_{\omega}} < \aleph_{(2} \aleph_{\theta_1} + ...$
- 2. For any limit ordinal  $\xi$ ,  $\aleph^{|\xi|}_{\xi} < \aleph_{(2|\xi|)} + .$
- 3. If  $\delta$  is limit and  $\delta = \alpha + \beta$ ,  $\beta \neq 0$ , then  $\aleph^{cf(\delta)}_{\delta} < \aleph_{\alpha + (|\beta|^{cf(\beta)})}^+$ .

#### **Theorem 42. (S. Shelah(2008))**

**RP** implies that  $\lambda^{\aleph_0} = \lambda$ , for any regular cardinal  $\lambda \geq \aleph_2$ .

#### =巨大基数 =

カントールの超限順序数

4

ハウスドルフの 基数計算

> ハウスドルフの弱到達 不能基数(1906)

カントールの 連続体仮説, 記述 集合 (perfect set, derived set)



ジョルダンの一般連続体仮 説の定式化



ルジン,シェルピンスキーの連続体仮説の研究

➤ ハウスドルフの特異基数(1907)

マロー 基数(1911)<sub>巨</sub> 大基数の公理の初め

> ツェルメロの累積階 層の集合論モデル

到達不能基数(概念:シェルピンス キー,タルスキー),(言葉:クラト ウスキー)

#### ウラムの結果

カントールの超限順序数

#### 可測基数

可測基数は到達不可能基数

(ウラム:1929)

ウラム ω上の超フィ ルター (1929)



到達不可能基数はウラムの意味 で可測か? •

可測基数の存在性は?

実数値可測基数(real-valued mesurable cardinal) はどの程度大きいか?

#### タルスキー (1943)

強コンパクト基数 ⇒ 可測基数 ⇒ 弱コンパクト基数の証明(現代的用語)



強コンパクト基数、弱コンパクト基数の定義(1962)

#### Results

**Theorem 43.** Let f and g be any functions on a non empty set S so that  $|\Sigma f| \ge \aleph_0$ ,  $F(x) \ne \emptyset$  and  $|g(x)| \ge 2$  for all  $x \in S$ .

Assume that for each  $x \in S$ , there exists  $y \in S$  such that  $|f(x)| < |g(y)|^{|S|}$  holds.

Then we have that:  $|\Sigma f| < |\Pi g|$ .

(In König's Lemma, the assumption that  $|f(x)| < |g(y)|^{|S|}$  is replaced by, simply, |f(x)| < |g(x)| for  $x \in S$ .)

**Theorem 44. (10)** If there is a sequence  $< f_{\xi} : \xi \le \omega_2$  of functions of  $\omega_1$  into itself such that  $f_{\xi} <_{BD\omega^1} f_{\zeta} <_{NS\omega^1} f_{\omega^2}$  for any  $\xi$  and  $\zeta$  in  $\omega_2$  with  $\xi < \zeta$ , then there is a sequence  $< h_{\xi} : \xi \le \omega_2 >$  of functions of  $\omega_1$  into itself such that  $h_{\xi} <_{BD\omega^1} h_{\zeta}$  for any  $\xi$  and  $\zeta$  with  $\xi < \zeta \le \omega_2$ .

**Theorem 45. (10)** Assume that  $\aleph_{\omega^I}$  is strong limit.

Then if there is no sequence  $< f_{\xi} : \xi \le \omega_2 >$  of functions of  $\omega_I$  into itself such that  $f_{\xi} <_{BD \omega^I} f_{\zeta}$  for  $\xi < \zeta \le \omega_2$  then  $2^{\aleph \omega_I} < \aleph_{\omega^2}$  holds.

**Theorem 46. (12)** Assume that  $\kappa$  is inaccessible and there exists a <-fine  $\kappa$ -complete <-normal  $\langle \aleph_I, C_{S,\lambda} \rangle$ -distributive ideal on  $S = P_{<\kappa}(\lambda)$ . Then it holds that  $\lambda^{<\kappa} = \lambda$ .

**Corollary.**(Solovay) If  $\kappa$  is a supercompact cardinal (strongly compact cardinal), then for every regular cardinal  $\lambda > \kappa$ ,  $\lambda^{<\kappa} = \lambda$ .

#### **Problems**

(H.Woodin) If  $\kappa$  is a strongly compact cardinal and  $2^{\alpha} = \alpha^{+}$  for every cardinal  $\alpha < \kappa$ , then must be *GCH* hold?

**Theorem 47. (A.W. Apter)** Let  $V \models "ZFC + \kappa$  is supercompact". There is then a partial ordering  $P \in V$  and a symmetric inner model N,  $V \subseteq N \subseteq V^P$ , so that  $N \models "ZF + \forall \delta < \kappa \ DC_{\delta} + \kappa \ is \ a \ strong$  limit cardinal  $+ \forall \delta < \kappa \ (2^{\delta} = \delta^+) + \kappa \ is \ supercompact + there is a sequence <math>< A_{\alpha} : \alpha < \kappa^{++} > of \ distinct$  subsets of  $\kappa$ ".

## 5. References

- (1) Joan Bagaria, 'Natural Axioms of Set Theory and the Continuum Problem' draft?, (2013)
- (2) Janet Heine Barnett, 'Origins of Boolean Algebra in the Logic of Classes: George Boole, John Venn and C. S. Peirce' draft?, (2013)
- (3) Kurt Gödel, 'What is Cantor's Continuum Problem?', American Mathematical Monthly, USA, vol.54 (1947), pp515–525.

- (4) 飯田隆 編, 'リーディングス 数学の哲学 ゲーデル以降' 勁草書房, (1995)
- (5) 角田 譲, '最近の集合論', 科学基礎論研究, vol.17, (1984) pp21-30
- (6) Y. Kanai, 'Separative Ideals and Precipitous Ideals', *Masters Thesis*, Kobe University (1981)
- (7) Y. Kanai, 'About  $\kappa^+$ -saturated ideals', Mathematics Seminar Notes, Kobe University, vol.9(1), (1981) pp65-74

- (8) Y. Kanai, 'On a result of S.Shelah' (japanese), 京都大学数理解析研究所 講究録 441, (1981) pp. 27-42
- (9) Y. Kanai, 'On quotient algebras in generic extensions', Commentarii Mathematici Universitatis Sancti Pauli, vol.33(1), (1984) pp71-77
  - (10) Y. Kanai, 'On a variant of weak Chang's Conjecture', Zeitschrift math.Logik und Grundlagen d. Math.vol.37, (1991)

- (11) On a generalization of distributivity Kanai, Yasuo, Journal of Symbolic Logic, 59(3) 1055-1067 1994
- (12) Distributivity and Stationary Reflections Kanai, Yasuo, Proceedings of American Mathematical Society, 127(10) 3073-3080 1999
- (13) Y. Kanai, 'On Completeness of the Quotient Algebras  $P(\kappa)/I$ ', Archive for Mathematical Logic, vol.39(2), (2000) pp75-87

- (14) On the Deductive Strength of Distributivity Axioms for Boolean Algebras in Set Theory, Kanai, Yasuo, Mathematical Logic Quarterly, 48(3) 413-426 2002
- (15) A. Kanamori, 'The Mathematical Development of Set Theory from Cantor to Cohen', The Bulletin of Symbolic Logic, vol.2 (1996), pp1–71.
- (16) A. Kanamori, 'Introduction', in *Handbook* of Set Theory Vol. 1, (2010), pp1–92.
- (17) 功力金二郎, 村田 全 訳・解説, '現代数学の系譜8 G.CANTOR著 カントル 超限集合論', 共立出版株式会社, (1979).

- (18) G.H. Moore, 'Early History of Generalized Continuum Hypothesis', The Bulletin of Symbolic Logic, vol.17 (2011), pp489–532.
- (19) 田中一之編, 'ゲーデルと20世紀の論理学'東京大学出版会,(2007)
- (20) 吉田耕作, 松原 稔 訳·解説, '現代数学の系譜 3 H.LEBESGU著 ルベーグ 積分・長さおよび面 積', 共立出版株式会社, (1969).

### ご清聴

ありがとうございました!