

Deformation of Moduli Spaces of Meromorphic Connections on the Riemann Sphere via Untolding of Irregular Singularities

Kazuki Hiroe (Chiba Univ.)

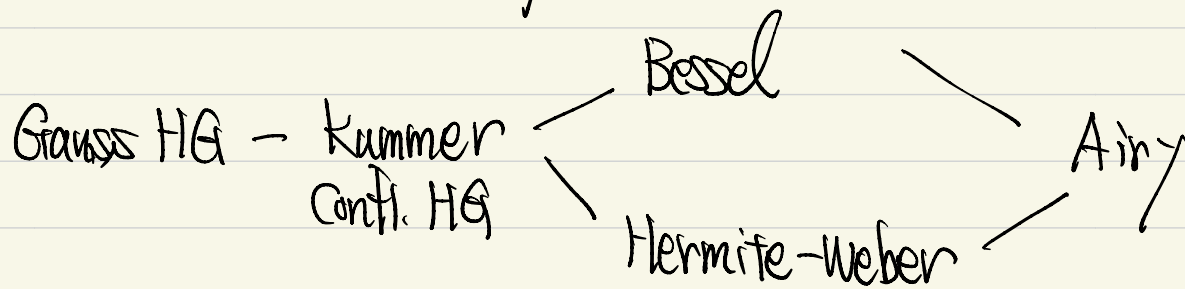
Web-seminar on Painlevé equations & related topics

28th April 2021

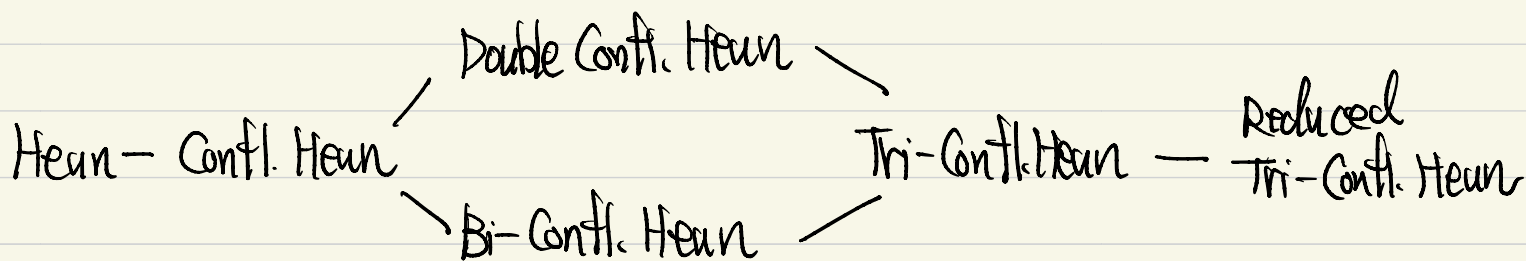
WHAT DO WE WANT?

- **Good** holomorphic families of ODE on $\mathbb{P}^1$ arising from **confluence** of their singular points.

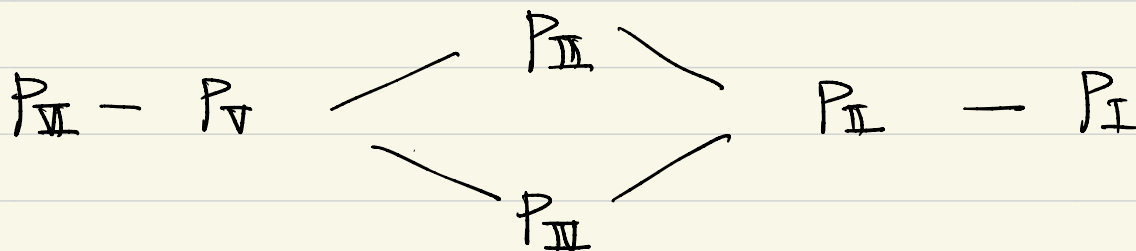
E.g. - Gauss family



- Heun family (≈ Painlevé family)



↓ w. apparent singular point
+
Isomonodromic deformation



- Garnier families, Kawakami - Nakamura - Sakai families, etc., etc. ...

WHAT IS CONFLUENCE (UNFOLDING)?

Too naive way

$$Y' = \left(\underbrace{\frac{A_{k+1}}{z^{k+1}} + \dots + \frac{A_1}{z} + \dots}_{\text{perturbation}} \right) Y$$

↑ irregular singularity of Poincaré rank k

$$\begin{aligned} Y' &= \frac{A_{k+1}}{(z-c_0)(z-c_1)\dots(z-c_k)} + \frac{A_k}{(z-c_0)\dots(z-c_{k-1})} + \dots + \frac{A_1}{z-c_0} + \dots \\ &= \frac{\tilde{A}_0}{z-c_0} + \frac{\tilde{A}_1}{z-c_1} + \dots + \frac{\tilde{A}_k}{z-c_k} + \dots \quad (c_i \neq c_j) \end{aligned}$$

Generic $\vec{c} = (c_0, \dots, c_k)$ confluence $\rightarrow$ special $\vec{c}$ ($c_i = c_j$ for some i, j)
 Fuchsian ODE unfolding $\leftarrow$ Irreg. sing. ODE

Why too naive?

- This naive confluence may NOT preserve many invariants
 - index of rigidity (= dim of Moduli space)
- Hopeless to trace the change of local invariants
 - Hukuhara-Turrittin-levelt normal form, Monodromy, Stokes str.

HOWEVER

Gauss, Fuchs, Painlevé, Garnier, K-N-S ..., families DO preserve many invariants & share many analytic properties inside each family.

PROTOTYPE (want to generalize this!)

Oshima's rigid families (Publ. RIMS 2021)

∪
{Gauss family, pFg-families, other known rigid families}

OUR STRATEGY

- Construct $\pi: \mathcal{M} \rightarrow D \subset \mathbb{C}^n$: holomorphic family of Moduli spaces of Merom. Conn. on $\mathbb{P}^1$ with unramified irreg. sing. via unfolding of these sing pts.
- Then a local section of π gives a family of ODE.

Previous Work

Inaba (Bull. Sci. Math. 2019.) $\leftarrow$ Further, confluence of isomonodromic deform is discussed

(nonresonant unramified irreg. sing case)

↑
We will remove this

↑
We do not discuss in this talk.

§1 Moduli space of meromorphic connections on trivial bundle on $\mathbb{P}^1$ with unramified irregular singularities (after Boalch)

Truncated orbit of HTL - normal form

See

$$\mathbb{C}[z]_R := \mathbb{C}[z] / \langle z^R \rangle, \quad \mathbb{C}[z^{-1}]_R := z^{-(R+1)} \mathbb{C}[z] / \mathbb{C}[z] \subset \mathbb{C}((z)) / \mathbb{C}[z],$$

then $\mathbb{C}[z^{-1}]_R$ is a $\mathbb{C}[z]_R$ -module.

Take

$$H = \left(\frac{H_R}{z^R} + \dots + \frac{H_1}{z} + R \right) \frac{dz}{z} : \text{Hukuhara-Turnitin-Levelt normal form}$$

$$(H_i \in M_n(\mathbb{C}) : \text{diagonal}, R \in M_n(\mathbb{C}) : [H_i, R] = 0 \ \forall i),$$

then we regard

$$H \in M_n(\mathbb{C}[z^{-1}]_R) dz.$$

See

$$G_R := GL_n(\mathbb{C}[z]_R), \quad \mathfrak{g}_R = \text{Lie } G_R \simeq M_n(\mathbb{C}[z]_R)$$

then

$$\mathfrak{g}_R^* \simeq M_n(\mathbb{C}[z^{-1}]_R) dz \text{ via}$$

$$\begin{array}{ccc} M_n(\mathbb{C}[z]_R) \times M_n(\mathbb{C}[z^{-1}]_R) dz & \longrightarrow & \mathbb{C} \\ \downarrow \times & & \downarrow \text{Res} \\ \text{Tr} dz & \longmapsto & \text{Res}_{z=0} (\text{Tr} X Y) dz \end{array}$$

Def. (truncated orbit.)

$$\mathcal{O}_H := \text{Ad}^*(G/H)(H)$$

Def. (moduli space of connection on triv. bdl. on $\mathbb{P}^1$.)

$H = (H_0, H_1, \dots, H_r) : (r+1)$ -tuple of HTL-normal forms of size n .

$$\mathcal{M}(H) := \left\{ d - \left(\sum_{i=1}^r \sum_{\nu=0}^{k_i} \frac{A_{\nu}^{(i)}}{(z-a_i)^{\nu+1}} - \sum_{\nu=1}^{k_0} A_{\nu}^{(0)} z^{\nu-1} \right) dz \right.$$

$$\left. \left| \text{irreducible, } \left(\sum_{\nu=0}^{k_i} \frac{A_{\nu}^{(i)}}{z} \right) \frac{dz}{z} \in \mathcal{O}_{H_i} \right\} / \text{GL}_n(\mathbb{C}). \right.$$

Here $A_0^{(0)} := - \sum_{i=1}^r A_0^{(i)}$ and

irreducible $\overset{\text{def.}}{\iff} (A_{\nu}^{(i)})_{\substack{i=0, \dots, r \\ \nu=0, \dots, k_i}} \text{ has no nontrivial simultaneous invariant subspace of } \mathbb{C}^n$.

KNOWN

$\mathcal{M}(H)$ is a smooth & connected complex symplectic manifold if $\neq \emptyset$.

§ 2 Deformation of $\mathcal{O}_H$

Deformation of HTL-normal form

H : HTL-normal form as above.

$$H(\vec{c}) = \left(\frac{H_k}{(z-c_1) \cdots (z-c_k)} + \frac{H_{k-1}}{(z-c_1) \cdots (z-c_{k-1})} + \cdots + \frac{H_1}{z-c_1} + R \right) \frac{dz}{z}$$

Then

$$H(0) = H$$

For $\forall \vec{c} \in \mathbb{C}^{k+1}$, $\exists c_{i1}, \dots, c_{i\ell} \in \{c_0, c_1, \dots, c_k\}$ s.t.

$$H(\vec{c}) = \sum_{j=1}^{\ell} \sum_{\nu=1}^{k_j} \frac{\tilde{H}_{j\nu}^{j_1}}{(z-c_{ij})^{\nu+1}} dz$$

In this case, setting

$$H^{j_1} := \sum_{\nu=1}^{k_j} \frac{H_{j\nu}^{j_1}}{z^\nu} \frac{dz}{z}, \quad (\text{HTL-normal form again!})$$

we define

$$\begin{aligned} \mathcal{O}_{H(\vec{c})} &:= \left\{ \sum_{j=1}^{\ell} \sum_{\nu=1}^{k_j} \frac{A_{j\nu}^{j_1}}{(z-c_{ij})^{\nu+1}} dz \mid \sum_{\nu=1}^{k_j} \frac{A_{j\nu}^{j_1}}{z^\nu} \in \mathcal{O}_{H^{j_1}}, \forall j \right\} \\ &\simeq \prod_{j=1}^{\ell} \mathcal{O}_{H^{j_1}} \end{aligned}$$

THM. $\mathcal{O}_H$: complex manifold.

$0 \in D \subset \mathbb{C}^n$: open dense

$\exists \pi: \mathcal{O}_H \rightarrow D$: holomorphic surjective submersion.

s.t.

$$\pi^{-1}(\vec{c}) \simeq \mathcal{O}_{H(\vec{c})}$$

$$\forall \vec{c} \in D$$

Triangular decomposition of $\mathcal{O}_H$

Consider

$$\mathbb{C}^n = \bigoplus_{i=1}^{m_k} V_{[k,i]} \supset \bigoplus_{i=1}^{m_{k-1}} V_{[k-1,i]} \supset \dots \supset \bigoplus_{i=1}^{m_1} V_{[1,i]},$$

↑
refinement

$\bigoplus_{i=1}^{m_j} V_{[j,i]}$: simultaneous eigenspace decomposition
of $\mathbb{C}^n$ by $(H_k, H_{k-1}, \dots, H_j)$

Set

$L_j, \pi_j, U_j \in M_n(\mathbb{C})$: block mtr. along $\bigoplus_{i=1}^{m_j} V_{[j,i]}$
diag. strict upper triangle strict lower triangle

$$L_j(\mathbb{C}[Z]_j) := G_j \cap L_j(\mathbb{C}[Z]_j)$$

$$P_j(\mathbb{C}[Z]_j) := G_j \cap (L_j \oplus \pi_j)(\mathbb{C}[Z]_j)$$

IHM (H-Yamakawa, H.)

$$\mathcal{O}_H^{(j+1)} \cong T^* G_j / P_j(\mathbb{C}[Z]_j) \times \mathcal{O}_H^{(j)}$$

for $j = 1, 2, \dots, k$.

Here $\mathcal{O}_H^{(j)} := \text{Ad}^*(L_j(\mathbb{C}[Z]_j))(H)$, $j = 1, 2, \dots, k$.
 $\mathcal{O}_H^{(k+1)} := \mathcal{O}_H$.

Deformation of $\mathbb{C}[Z]_j, \mathbb{C}[Z^*]_j dz$

For $\vec{c} = (c_1, \dots, c_j) \in \mathbb{C}^j$, define a divisor on $\mathbb{C}$ by
 $D(\vec{c})_j := 0 + c_1 + \dots + c_j$.

$\Omega(D(\vec{c})_j) := \Omega_{D(\vec{c})_j}(\mathbb{P}^1)$: set of merom f-forms φ s.t.
 $\text{div}(\varphi) \geq -D(\vec{c})_j$.

$\hat{\Omega}(D(\vec{c})_j) := \Omega(D(\vec{c})_j) / \mathbb{C}[Z]_j dz$

$L(-D(\vec{c})_j) := \mathcal{O}_{-D(\vec{c})_j}(\mathbb{P}^1)$: set of rational functions f s.t.
 $\text{div}(f) \geq D(\vec{c})_j$

$\check{L}(-D(\vec{c})) := \mathbb{C}[Z] / L(-D(\vec{c}))$

Lem. $\hat{\Omega}(D(\vec{c})_j)$ is a $\check{L}(-D(\vec{c})_j)$ -module.

$\hat{\Omega}(D(0)_j) \supseteq \mathbb{C}[Z^*]_j dz$, $\check{L}(-D(0)_j) \supseteq \mathbb{C}[Z]_j$.

Def (residue map)

$\text{res} : \hat{\Omega}(D(\vec{c})_j) \ni f dz \mapsto \oint_R f dz \in \mathbb{C}$

R : a circle surrounding $0, c_1, \dots, c_j$.

Under the following identifications

$$\check{L}(-D(c)_j) \cong \left\{ g_0 + g_1(z-c_1) + g_2(z-c_1)(z-c_2) + \dots + g_j(z-c_1) \dots (z-c_j) \right. \\ \left. \mid g_i \in \mathbb{C} \right\}$$

$$\hat{\omega}(D(c)_j) \cong \left\{ \left(f_0 + \frac{f_1}{z-c_1} + \frac{f_2}{(z-c_1)(z-c_2)} + \dots + \frac{f_j}{(z-c_1) \dots (z-c_j)} \right) \frac{dz}{z} \right. \\ \left. \mid f_i \in \mathbb{C} \right\},$$

we define a pair of families

$$\check{L}(-D(s)_j) := \left\{ g_0 + g_1(z-c_1) + g_2(z-c_1)(z-c_2) + \dots + g_j(z-c_1) \dots (z-c_j) \right. \\ \left. \mid g_i \in \mathbb{C}, \vec{c} \in S \right\} \subseteq \mathbb{C}^{j+1} \times S$$

$$\hat{\omega}(D(s)_j) := \left\{ \left(f_0 + \frac{f_1}{z-c_1} + \frac{f_2}{(z-c_1)(z-c_2)} + \dots + \frac{f_j}{(z-c_1) \dots (z-c_j)} \right) \frac{dz}{z} \right. \\ \left. \mid f_i \in \mathbb{C}, \vec{c} \in S \right\} \subseteq \mathbb{C}^{j+1} \times S$$

for $0 \in S \subset \mathbb{C}^j_{\text{open}}$.

• Then $\check{L}(-D(s)_j)$, $\hat{\omega}(D(s)_j)$ are deformations of $\mathbb{C}[z]_j$, $\mathbb{C}[z^{-1}]_j$.

• Fiber wise action $\check{L}(-D(s)_j) \curvearrowright \hat{\omega}(D(s)_j)$ gives a holomorphic transformations on $\hat{\omega}(D(s)_j)$.

Caution 3

nontrivial

$\pi: \text{GL}_n(\mathbb{L}(-D(S)_j)) \rightarrow S$ has no global section 3
 $\det g(\vec{c})$ ($g(\vec{c}) \in \text{M}_n(\mathbb{L}(-D(S)_j))$) may have zero as a function of $\vec{c} \in S$.
But $\swarrow$ unipotent mtr.
for $n(\vec{c}) \in N(\mathbb{L}(-D(S)_j))$, $\det n(\vec{c}) = 1$ (constant) 3.

• Bruhat decomposition of $G_j/P_j(\mathbb{C}[Z]_j)$

$N^+, N^- \subset \text{GL}_n(\mathbb{C})$: subgroup of upper (lower) unipotent matrices.

$W \cong \mathfrak{S}_n$: Weyl group of $\text{GL}_n(\mathbb{C})$

$N'_\omega := N^+ \cap \omega N^- \omega^{-1}$ ($\omega \in W$)

$W_j \subset W$: subgroup associated to the parabolic subalgebra $\mathfrak{p}_j := \mathfrak{l}_j \oplus \mathfrak{u}_j$

THM (H)

$\{w_1, \dots, w_r\}$: a complete system of representatives of W/W_j

Then

$$\text{GL}_n(\mathbb{C}[Z]_j) = \prod_{i=1}^r (\hat{N}_j)_{\omega_i}(\mathbb{C}[Z]_j) \omega_j P_j(\mathbb{C}[Z]_j)$$

where

$$(\hat{N}_j)_{\omega_i}(\mathbb{C}[Z]_j) = \{n(z) \in N_j(\mathbb{C}[Z]_j) \mid n(\omega) \in N'_{\omega_i}\}$$

Deformation of $T^*G_j/P_j(\mathbb{C}Z_j)$ —

Take the following trivialization

$$T^*G_j/P_j(\mathbb{C}Z_j) \cong G_j/P_j(\mathbb{C}Z_j) \times \pi_j^*(\mathbb{C}Z_j^* dz)$$

Then define for $S \subset \mathbb{C}^j$,

$$T^*G_j/P_j(S) := \bigsqcup_{i=1}^t (\tilde{N}_j)_{\omega_i}(\check{L}(-D(S)_j)) \times \pi_j^*(\hat{\omega}_i(D(S)_j))$$

where

$$(\tilde{N}_j)_{\omega_i}(\check{L}(-D(S)_j)) := \{n(z) \in N_j(\check{L}(-D(S)_j)) \mid n(z_j) \in N'_{\omega_i}\}.$$

~~~~~>

The decomposition  $\mathcal{O}_H^{(j+1)} \cong T^*G_j/P_j(\mathbb{C}Z_j) \times \mathcal{O}_H^{(j)}$  & the deformation  $T^*G_j/P_j(\vec{c})$  enable us to define a deformation of the truncated orbit  $\mathcal{O}_H$ :

$$\pi: \mathcal{O}_H \longrightarrow D \subset \mathbb{C}^{k+1}$$

s.t.

$$\pi^{-1}(\vec{c}) \cong \mathcal{O}_H(\vec{c}) \text{ for } \vec{c} \in D.$$



### §.3 Deformation of $\mathcal{M}(\mathbf{H})$

$\mathbf{H} = (H_0, H_1, \dots, H_r) : (r+1)$ -tuple of HTH-normal forms of size  $n$ .

$H_i(\vec{c}_i)$  ( $\vec{c}_i \in \mathbb{C}^{k_i+1}$ ): deformations of  $H_i$  as above.

$\pi_i: \mathbb{O}_{H_i} \rightarrow D_i \subset \mathbb{C}^{k_i+1}$ : deformations of  $\mathbb{O}_{H_i}$  as above.

$$\text{res}: \prod_{i=0}^r \mathbb{O}_{H_i} \rightarrow M_n(\mathbb{C})$$

$$\downarrow \quad \quad \quad \downarrow$$

$$(A_i) \mapsto \sum_{i=0}^r \text{res}(A_i)$$

$$\mathcal{M} = \{ (A_i) \in \prod_{i=0}^r \mathbb{O}_{H_i} \mid \text{res}(A_i) = 0 \text{ } \} / \text{irreducible} / \text{GL}_n(\mathbb{C})$$

$$\downarrow \pi$$

$$\prod_{i=0}^r D_i$$

THM. If  $\mathcal{M}(\mathbf{H}) \neq \emptyset$ , then

1)  $\mathcal{M}$  is a smooth complex manifold

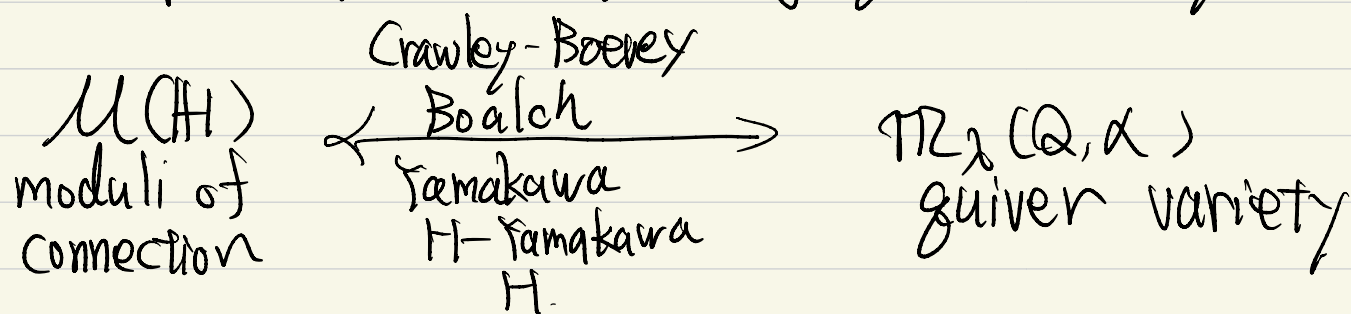
2)  $\pi: \mathcal{M} \rightarrow \prod_{i=0}^r D_i$  is holomorphic, surjective, submersive. (i.e. flat as analytic spaces.)

3)  $\pi^{-1}(\vec{c}) \simeq \mathcal{M}(\mathbf{H}(\vec{c})) \quad \forall \vec{c} \in \prod_{i=0}^r D_i$

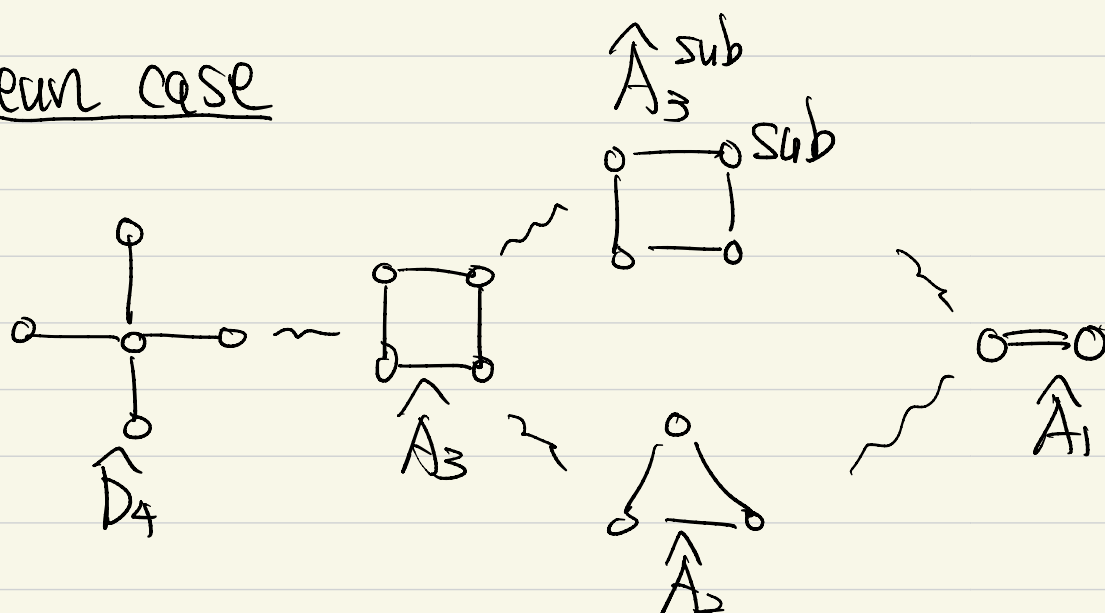
These family contain Heun (Painlevé), Garnier, K-N-S,  
 ..., many known families arising from confluence!

the hardest part to show is 2).

Key Solve simultaneous additive Deligne-Simpson problem by using quiver theory!



Heun case



IHM (H)

$Q$ : quiver associated to  $\mathcal{M}(H)$

$Q^{\text{gen}}$ : quiver associated to  $\mathcal{M}(H(\vec{c})) \cong \pi^{-1}(\vec{c})$  for generic fiber.

$\equiv \text{rep}(Q) \hookrightarrow \text{rep}(Q^{\text{gen}})$ : fully faithful functor

$\rightsquigarrow$

$\mathcal{M}(H) \neq \emptyset \Leftrightarrow \mathcal{M}(H(\vec{c})) \neq \emptyset$

$\rightsquigarrow \pi$  is surjective & submersive.