

A Journey guided by the Stars

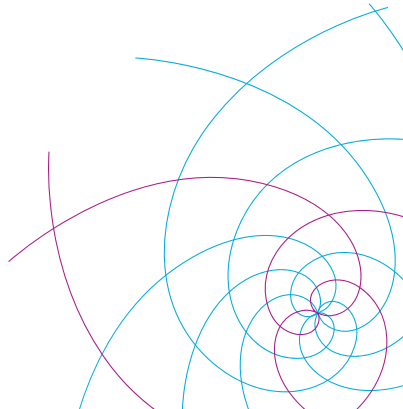
Part II

Forcing “ NS_{ω_1} is ω_1 -dense” from Large Cardinals

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An ideal I on ω_1 is a collection of “small subsets” of ω_1 .

- $\emptyset \in I, \omega_1 \notin I$.
- $X \subseteq Y \in I \Rightarrow X \in I$
- in this talk: if $X_n \in I$ for $n < \omega$ then $\bigcup_{n < \omega} X_n \in I$
- in this talk: all countable subsets of ω_1 are in I and I is normal.

I induces equivalence relation on $\mathcal{P}(\omega_1)$, $X \sim_I Y$ if $X \Delta Y \in I$. $\mathbb{P}_I = (\mathcal{P}(\omega_1) / \sim_I)^+$ with order induced by \subseteq .

ω_1 -dense \Rightarrow saturated \Rightarrow precipitous.

Definition

An ideal I on ω_1 is ω_1 -dense if \mathbb{P}_I has a dense subset of size ω_1 .

Special focus on NS_{ω_1} , the nonstationary ideal on ω_1 . NS_{ω_1} is ω_1 -dense if $\exists \langle S_i \mid i < \omega_1 \rangle$ sequence of stationary sets so that for all stationary $T \subseteq \omega_1$, $\exists i < \omega_1 \exists C \subseteq \omega_1$ club $S_i \cap C \subseteq T$.

Theorem (Woodin, late 70s)

Assume $V \models \text{ZF} + \text{AD}_{\mathbb{R}} + “\Theta \text{ is regular}”$. Then in a forcing extension $\text{ZFC} + \text{CH} + “\text{there is a dense ideal on } \omega_1”$ holds.

Theorem (Woodin)

Suppose there is an almost huge cardinal. Then in a forcing extension there is a dense ideal on ω_1 and CH hold.

Theorem (Woodin)

Assume $L(\mathbb{R}) \models \text{AD}$. Then in a forcing extension of $L(\mathbb{R})$, $\text{ZFC} + “\text{NS}_{\omega_1} \text{ is } \omega_1\text{-dense}”$ holds. In fact, if there are a proper class of Woodin cardinals then “ $\text{NS}_{\omega_1} \text{ is } \omega_1\text{-dense}$ ” is Ω -consistent, i.e. $\text{ZFC} \not\vdash_{\Omega} \neg(“\text{NS}_{\omega_1} \text{ is } \omega_1\text{-dense}”)$.

The Ω -Conjecture suggests that “ $\text{NS}_{\omega_1} \text{ is } \omega_1\text{-dense}$ ” can be forced from large cardinals.

Question (Woodin, late 90's)

Assume some large cardinal. Is there a stationary set preserving partial order \mathbb{P} so that

$$V^{\mathbb{P}} \models \text{"NS}_{\omega_1} \text{ is } \omega_1\text{-dense" ?}$$

Theorem (L.)

Suppose there is an inaccessible cardinal κ which is a limit of $<\kappa$ -supercompact cardinals. Then there is a stationary set preserving forcing \mathbb{P} with

$$V^{\mathbb{P}} \models \text{"NS}_{\omega_1} \text{ is } \omega_1\text{-dense"}.$$

Idea: By Asperó-Schindler $MM^{++} \Rightarrow (*)$ suggests to solve for x :

$$\frac{MM^{++}}{(*)} = \frac{x}{\mathbb{Q}_{\max}^{--}(*)}$$

Theorem (L.)

There is a forcing axiom QM so that

- *QM can be forced by stationary set preserving forcing from a supercompact limit of supercompact cardinals.*
- *QM implies $\mathbb{Q}_{\max}^{--}(*)$ (in particular “ NS_{ω_1} is ω_1 -dense”).*

NS_{ω_1} is ω_1 -dense $\Leftrightarrow \exists \pi: \text{Col}(\omega, \omega_1) \rightarrow \mathbb{P}_{\text{NS}_{\omega_1}}$ dense embedding.

Definition

Suppose \mathbb{B} is a forcing of size $\leq \omega_1$. $\diamond(\mathbb{B})$ holds if there is an embedding $\pi: \mathbb{B} \rightarrow \mathcal{P}(\omega_1) \setminus \text{NS}_{\omega_1}$ so that $\forall p \in \mathbb{B}$ there are stationarily many countable $X < H_{\omega_2}$ with

$$p \in \{q \in \mathbb{B} \cap X \mid \omega_1 \cap X \in \pi(q)\} \text{ is a filter generic over } X.$$

$\diamond(\omega_1^{<\omega})$ is $\diamond(\text{Col}(\omega, \omega_1))$.

Definition

QM holds if $\exists \pi$ witnessing $\diamond(\omega_1^{<\omega})$ and $\text{FA}_{\omega_1}(\{\mathbb{P} \mid \mathbb{P} \text{ preserves } \pi\})$ holds, i.e. whenever $V^{\mathbb{P}} \models \text{“}\pi \text{ witnesses } \diamond(\omega_1^{<\omega})\text{”}$ and $\langle D_i \mid i < \omega_1 \rangle$ are dense subsets of \mathbb{P} , there is a \mathbb{P} -filter meeting all D_i .

Definition

Suppose π witnesses $\diamond(\omega_1^{<\omega})$. A Q -iteration is a nice iteration $\langle \mathbb{P}_\alpha, \dot{Q}_\beta \mid \alpha \leq \gamma, \beta < \gamma \rangle$ of π -preserving forcing so that

- For $\alpha < \gamma$ successor

$$V^{\mathbb{P}_\alpha} \models \text{“}\dot{Q}_\alpha \text{ forces SRP and that } \text{ran}(\pi) \text{ is dense for old sets”}$$

- For $\alpha < \gamma$ limit no further requirement on \dot{Q}_α .

Work-Life-Balance Theorem (L.)

Q -iterations preserve π .

Lemma

Suppose π witnesses $\diamond(\mathbb{B})$ and there is a supercompact cardinal. Then SRP holds in an π -preserving extension.

Lemma

Suppose π witnesses $\diamond(\omega_1^{<\omega})$ and there are two Woodin cardinals with a measurable above. Then there is an π -preserving forcing \mathbb{P} which makes “ π dense for old sets”.

Proposition (Folklore)

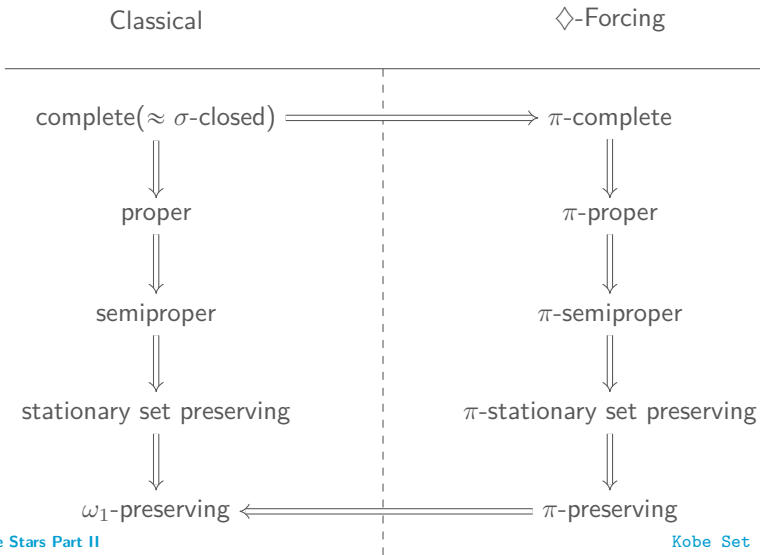
Suppose $S \subseteq \omega_1$. The following are equivalent:

1. S is stationary.
2. For any club $\mathcal{C} \subseteq [H_{\omega_2}]^\omega$, there is $X < H_{\omega_2}$ with $X \in \mathcal{C}$ and $X \cap \omega_1 \in S$.

Definition

Suppose π witnesses $\diamond(\mathbb{B})$. A countable $X < H_\theta$ is π -**slim** if $\{q \in \mathbb{B} \mid \omega_1 \cap X \in \pi(q)\}$ is a filter generic over X . A subset $S \subseteq \omega_1$ is π -**stationary** if for any club $\mathcal{C} \subseteq [H_{\omega_2}]^\omega$ there is a π -slim $X < H_{\omega_2}$ with $X \in \mathcal{C}$ and $\omega_1 \cap X \in S$.

NS_π is the ideal of π -nonstationary sets.



Proposition

Suppose π witnesses $\diamond(\mathbb{B})$. Every σ -closed forcing preserves π .

Proof.

Suppose θ is sufficiently large, regular. Let $X < H_\theta$ be π -slim, i.e. countable and

$g := \{p \in \mathbb{B} \cap X \mid \delta^X \in \pi(p)\}$ is a filter and generic over X .

Suppose $\mathbb{B}, \mathbb{P} \in X$ and $p \in \mathbb{P} \cap X$. We will find $q \leq p$ so that

$q \Vdash \check{X} \sqsubseteq \check{X}[\dot{G}] \wedge \check{X}[\dot{G}] \text{ is } \pi\text{-slim}.$

Let $\rho: M_X \rightarrow X$ be the anticollapse. Let $\bar{g} = \rho^{-1}[g]$. Then \bar{g} is generic over M_X . If $a \in X$ then $\bar{a} = \rho^{-1}(a)$.

Proof (continued).

Let $\langle q_n \mid n < \omega \rangle$ be a descending sequence in $\bar{\mathbb{B}}$ with

- $\rho(q_0) = p$ and
- for any dense $D \subseteq \bar{\mathbb{B}}$ with $D \in M_X[\bar{g}]$, have some $q_n \in D$.

As \mathbb{P} is σ -closed, can find some $q \leq \rho(q_n)$, all $n < \omega$.

Now suppose G is \mathbb{P} -generic with $q \in G$. Then $\bar{G} = \rho^{-1}[G]$ is generic over $M_X[\bar{g}]$, in particular over M_X . So $X[G] \cap V = X$.

By the product lemma, \bar{g} is generic over $M_X[\bar{G}] = M_{X[G]}$. Hence g is generic over $X[G]$, so $X[G]$ is π -slim. □

Isolating the properties of q leads to π -semiproper forcing.

Definition

Suppose π witnesses $\diamond(\mathbb{B})$. A forcing \mathbb{P} is π -semiproper if for all large enough regular θ and all π -slim $X < H_\theta$ with $\mathbb{P} \in X$: If $p \in \mathbb{P} \cap X$ then there is $q \leq p$ with

$$q \Vdash \text{“}\check{X} \sqsubseteq \check{X}[\dot{G}] \wedge \check{X}[\dot{G}] \text{ is } \check{\pi}\text{-slim”}.$$

Have σ -closed $\Rightarrow \pi$ -semiproper $\Rightarrow \pi$ -preserving.

Theorem (L.)

Nice iterations of π -semiproper forcing are π -semiproper.

Suppose π witnesses $\diamond(\mathbb{B})$.

- There is a standard forcing to force “an instance of SRP”.
- The same argument which shows this is semiproper shows it is π -semiproper.
- Iterating these up to a supercompact gives SRP.

In fact can get a forcing axiom this way:

Definition

$\text{MM}(\pi)$ is $\text{FA}_{\omega_1}(\{\mathbb{P} \mid \mathbb{P} \text{ is } \pi\text{-semiproper}\})$.

Corollary

Suppose there is a supercompact cardinal. Then $\text{MM}(\pi)$ holds in a forcing extension by π -semiproper forcing.

The iteration theorem for π -semiproper forcing generates known iteration theorems.
If $\mathbb{B} = \{0\}$ is the trivial forcing and $\pi(0) = \omega_1$, then get:

Theorem (Miyamoto)

Nice iterations of semiproper forcing are semiproper.

If \mathbb{B} is a Suslin tree then (with a small trick) get:

Theorem (Miyamoto)

Suppose T is a Suslin tree. Then nice iterations of semiproper T -preserving forcings preserve T .

Definition

Suppose

- M is a countable transitive model of (sufficiently much of) ZFC.
- $M \models "I \text{ is an ideal on } \omega_1"$

A generic iteration of (M, I) is a sequence $\langle (M_\alpha, I_\alpha), \mu_{\alpha, \beta} \mid \alpha \leq \beta \leq \gamma \rangle$ with

- $(M_0, I_0) = (M, I)$
- $\mu_{\alpha, \alpha+1} : M_\alpha \rightarrow M_{\alpha+1}$ is a generic ultrapower of M_α w.r.t I_α
- If $\alpha \in \text{Lim}$ then

$$\langle M_\alpha, \mu_{\beta, \alpha} \mid \beta < \alpha \rangle = \varinjlim \langle M_\beta, M_{\beta, \xi} \mid \beta \leq \xi < \alpha \rangle$$

(M, I) is generically iterable if all (countable) generic iterations of (M, I) produce wellfounded models.

Assume \mathbb{V}_{\max} is a \mathbb{P}_{\max} -variation say

- Conditions are of the form $p = (M, I, a)$
- (M, I) is generically iterable.
- $a \in M$ has some first order property in (M, I) .

and $q = (N, J, b) <_{\mathbb{V}_{\max}} (M, I, a) = p$ if $p \in N$ is countable in N and there is a generic iteration (map)

$$j: p \rightarrow p^* = (M^*, I^*, a^*)$$

in q , so that some first order formula is absolute between $(M^*; \in, I^*, a^*)$ and $(N; \in, J, b)$, e.g.:

- $\omega_1^{M^*} = \omega_1^N$
- $a^* = b$
- Maybe $I^* = J \cap M^*$

Also assume $\mathbb{V}_{\max}, \leq_{\mathbb{V}_{\max}}$ are projective.

Assume that

- NS_{ω_1} is saturated.
- $\mathcal{H} = (H_{\omega_2}, \text{NS}_{\omega_1}, A)$ is “almost a \mathbb{V}_{\max} -condition”, i.e.

$$V^{\text{Col}(\omega, 2^{\omega_1})} \models \mathcal{H} \in \mathbb{V}_{\max}$$

- $D \in L(\mathbb{R})$ is dense in \mathbb{V}_{\max} and universally Baire.

Let $g = \{p \in \mathbb{V}_{\max} \mid V^{\text{Col}(\omega, 2^{\omega_1})} \models \mathcal{H} <_{\mathbb{V}_{\max}} p\}$. Goal: Show that g witnesses \mathbb{V}_{\max} -(*) from appropriate forcing axiom.

Asperó-Schindler constructed a forcing \mathbb{P} so that in $V^{\mathbb{P}}$ the following picture exists:

$$\begin{array}{ccccc}
 & & D^* & & \\
 & & \Downarrow & & \\
 & & q_0 & \xrightarrow{\sigma_{0,\omega_1}} & q_{\omega_1} = (N^*, I^*, b^*) \\
 & & \Downarrow & & \Downarrow \\
 p_0 & \xrightarrow{\mu_{0,\omega_1^N}} & p_{\omega_1^N} & \xrightarrow{\mu_{\omega_1^N,\omega_1}} & p_{\omega_1} \\
 \Downarrow & & & & \Downarrow \\
 \mathbb{V}_{\max} & & & & ((H_{\omega_2})^V, (NS_{\omega_1})^V, A) = \mathcal{H}
 \end{array}$$

- μ_{0,ω_1^N} witnesses $q_0 <_{\mathbb{V}_{\max}} p_0$ and $\mu_{0,\omega_1} = \sigma_{0,\omega_1}(\mu_{0,\omega_1^N})$.
- The top iteration $q_0 \rightarrow q_{\omega_1}$ is *correct* in $V^{\mathbb{P}}$, i.e. $I^* = (NS_{\omega_1})^{V^{\mathbb{P}}} \cap N^*$.

Definition

A generic iteration $\langle (M_\alpha, I_\alpha), \mu_{\alpha,\beta} \mid \alpha \leq \beta \leq \omega_1 \rangle$ is a \diamond -iteration if: For any sequence $\langle D_i \mid i < \omega_1 \rangle$ of dense subsets of $(\mathbb{P}_{I_{\omega_1}})^{M_{\omega_1}}$ and any $S \in I_{\omega_1}^+ \cap M_{\omega_1}$ have

$$\{\alpha \in S \mid \forall i < \alpha \ G_\alpha \cap \mu_{\alpha,\omega_1}^{-1}[D_i] \neq \emptyset\} \in \text{NS}_{\omega_1}^+$$

where G_α is the \mathbb{P}_{I_α} -generic filter over M_α used in this iteration.

Theorem (L.)

Can modify Asperó-Schindler's \mathbb{P} to \mathbb{P}_\diamond so that in $V^{\mathbb{P}_\diamond}$ the same picture as before exists and top iteration $q_0 \rightarrow q_{\omega_1}$ is a \diamond -iteration in $V^{\mathbb{P}_\diamond}$.

Lemma

Suppose $\langle (M_\alpha, (\text{NS}_{\omega_1})^{M_\alpha}), \mu_{\alpha, \beta} \mid \alpha \leq \beta \leq \omega_1 \rangle$ is a \diamond -iteration. If

$M_{\omega_1} \models \text{"}\pi \text{ witnesses } \diamond(\omega_1^{<\omega}) \text{ and } [\cdot]_{\text{NS}_{\omega_1}} \circ \pi \text{ is a complete embedding (say } \pi \text{ witnesses } \diamond^+(\omega_1^{<\omega})\text{"}$

then $(\text{NS}_{\omega_1})^{M_{\omega_1}} = \text{NS}_\pi \cap M_{\omega_1}$. In particular π witnesses $\diamond(\omega_1^{<\omega})$.

Proof.

- Let $S \in (\text{NS}_{\omega_1}^+)^{M_{\omega_1}}$. Have to show that S is π -stationary.
- Let $\mathcal{C} \subseteq [H_{\omega_2}]^\omega$ club. Find $\langle X_i \mid i < \omega_1 \rangle$ continuous increasing sequence of countable elementary substructures of H_{ω_2} , all in \mathcal{C} .
- Let $\langle D_i \mid i < \omega_1 \rangle$ enumerate all dense subsets of $\text{Col}(\omega, \omega_1)$ appearing in the X_i .
- By assumption, $\hat{\pi} := [\cdot]_{\text{NS}_{\omega_1}^{M_{\omega_1}}} \circ \pi : \text{Col}(\omega, \omega_1) \rightarrow ((\mathcal{P}(\omega_1)^{M_{\omega_1}} / \text{NS}_{\omega_1})^+)^{M_{\omega_1}}$ is a complete embedding.

Proof continued.

- $E_i = \hat{\pi}[D_i] \downarrow$ is dense.
- $\Rightarrow T = \{\alpha \in S \mid \forall i < \alpha \ G_\alpha \cap \mu_{\alpha, \omega_1}^{-1}[E_i] \neq \emptyset\} \in \text{NS}_{\omega_1}^+$
- Find $\alpha \in T$ with
 - $\omega_1^{M_\alpha} = \alpha = \omega_1 \cap X_\alpha$
 - $\langle D_i \mid i < \alpha \rangle$ enumerates all dense subsets of $\text{Col}(\omega, \omega_1)$ in X_α
 - $\pi = \mu_{\alpha, \omega_1}(\bar{\pi})$, some $\bar{\pi} \in M_\alpha$.
- For $p \in \text{Col}(\omega, \omega_1^{M_\alpha})$, have $\omega_1^{M_\alpha} \in \pi(p)$ iff $[\bar{\pi}(p)]_{\text{NS}_{\omega_1}^{M_\alpha}} \in G_\alpha$ (generic used in step α of iteration).
- Hence $\{p \in \text{Col}(\omega, \omega_1) \cap X_\alpha \mid \alpha \in \pi(p)\}$ meets all D_i with $i < \alpha$, so is generic over X_α .



This suggests taking \mathbb{V}_{\max} conditions are of the form (M, I, π) and

$$M \models \text{“}\pi \text{ witnesses } \diamond^+(\omega_1^{<\omega})\text{”}$$

Definition

\mathbb{Q}_{\max}^- -conditions are of the form $(M, \text{NS}_{\omega_1}^M, \pi)$ with:

- $(M, \text{NS}_{\omega_1}^M)$ is generically iterable.
- $M \models \text{"}\pi \text{ witnesses } \diamond^+(\omega_1^{<\omega})\text{"}$

$q = (N, \text{NS}_{\omega_1}^N, \pi) <_{\mathbb{Q}_{\max}^-} (M, \text{NS}_{\omega_1}^M, \mu) = p$ iff $p \in N$ is countable in N and there is a generic iteration (map)

$$j : p \rightarrow p^* = (M^*, \text{NS}_{\omega_1}^{M^*}, \mu^*)$$

such that:

- $\mu^* = \pi$
- π is dense for sets in M^* : If $S \in \mathcal{P}(\omega_1)^{M^*} \setminus \text{NS}_{\omega_1}^N$ then have

$$\pi(p) \subseteq S \text{ mod } \text{NS}_{\omega_1}^N$$

for some $p \in \text{Col}(\omega, \omega_1)^N$.

Do not need whole \mathbb{P}_{\max} -machinery/theory.
Only crucial property of \mathbb{Q}_{\max}^- for us is:

Lemma

Assume there is a Woodin cardinal and a measurable above. Then $\mathbb{Q}_{\max}^- \neq \emptyset$ and for all $p \in \mathbb{Q}_{\max}^-$ there is $q \in \mathbb{Q}_{\max}^-$ with $q < p$.

Assume NS_{ω_1} is saturated and $\mathcal{H} = (H_{\omega_2}, \text{NS}_{\omega_1}, \pi)$ is almost in \mathbb{Q}_{\max}^- . In $V^{\mathbb{P}_\diamond}$:

$$\begin{array}{ccccc}
 & \Psi & & & \\
 & q_0 \xrightarrow{\sigma_{0,\omega_1}} & q_{\omega_1} = (N^*, \text{NS}_{\omega_1}^{N^*}, \nu^*) & & \\
 & \Psi & & \Psi & \\
 p_0 \xrightarrow{\mu_{0,\omega_1^N}} & p_{\omega_1^N} \xrightarrow{\mu_{\omega_1^N,\omega_1}} & p_{\omega_1} & & \\
 & & \parallel & & \\
 \mathbb{Q}_{\max}^- & & ((H_{\omega_2})^V, (\text{NS}_{\omega_1})^V, \pi) = \mathcal{H} & &
 \end{array}$$

■ μ_{0,ω_1^N} witnesses $q_0 <_{\mathbb{Q}_{\max}^-} p_0$ and $\mu_{0,\omega_1} = \sigma_{0,\omega_1}(\mu_{0,\omega_1^N})$.

■ The top iteration $q_0 \rightarrow q_{\omega_1}$ is a \diamond -iteration in $V^{\mathbb{P}}$.

$\Rightarrow \pi = \nu^*$ still witnesses $\diamond(\omega_1^{<\omega})$ in $V^{\mathbb{P}_\diamond}$.

By definition of order of \mathbb{Q}_{\max}^- , \mathbb{P}_\diamond makes π “dense for old sets”.

Proposition

Under $\text{AD}^{L(\mathbb{R})}$, \mathbb{Q}_{\max} and \mathbb{Q}_{\max}^- are forcing equivalent.

Corollary

If NS_{ω_1} is saturated and π witnesses $\diamond^+(\omega_1^{<\omega}) + \varepsilon$, then \mathbb{P}_{\diamond} for $\mathbb{V}_{\max} = \mathbb{Q}_{\max}^-$ preserves π and makes π “dense for old sets”.

This assumption can be forced by π -semiproper forcing assuming a Woodin cardinal. (Similar to forcing “ NS_{ω_1} is saturated” from a Woodin cardinal, but turn π into a complete embedding simultaneously).

Theorem (Woodin)

Let M be the least inner model with a proper class of Woodin cardinals and an inaccessible limit of Woodin cardinals.

1. “ NS_{ω_1} is ω_1 -dense” holds in a forcing extension of M .
2. “ NS_{ω_1} is ω_1 -dense” does not hold in any ω_1 -preserving forcing extension of M .

How does a forcing witnessing 1. look like explicitly?

Question

Can the large cardinal assumptions of the main theorems be reduced?

Question

Does $(\dagger) \Rightarrow (\ddagger)$?

Question

Does “ NS_{ω_1} is saturated” imply $\neg\text{CH}$?

Question

Does the existence of some large cardinal imply the existence of a precipitous ideal on ω_1 ?

Thank you for listening!