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神戸大学 (Kobe University)

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- The notion of interpretability was explicitly introduced by Tarski(1954) and systematically investigated by Feferman and Orey(1960).
- In this talk, we introduce a result about interpretability proved by using the arithmetized completeness theorem in Feferman(1960).

Contents

- Interpretability
- The arithmetized completeness theorem
- An application
- More investigations

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Interpretability Interpretability

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Definition

 $\mathcal{L}, \mathcal{L}'$: languages, $t: \operatorname{Fml}_{\mathcal{L}} \to \operatorname{Fml}_{\mathcal{L}'}$.

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- \bullet $t(x=y) \equiv x=y$;
- $\forall c \in \mathcal{L}$: constant, $\exists \eta_c(x)$: \mathcal{L}' -formula s.t. $t(x=c) \equiv \eta_c(x)$;
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- $t(\neg \varphi) \equiv \neg t(\varphi)$ for any \mathcal{L} -formula φ ;
- $t(\varphi \vee \psi) \equiv t(\varphi) \vee t(\psi)$ for any \mathcal{L} -formulas φ, ψ ;
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- $t(\varphi \lor \psi) \equiv t(\varphi) \lor t(\psi)$ for any \mathcal{L} -formulas φ, ψ ;
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- $\exists d(x)$: \mathcal{L}' -formula s.t. $t(\exists x \varphi(x)) \equiv \exists x (d(x) \land t(\varphi(x)))$ for any \mathcal{L} -formula $\varphi(x)$.

Interpretability Interpretability

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- \bullet $T \vdash \exists x d(x)$:
- $\Diamond \forall \varphi \colon \mathcal{L}_{S}$ -formula, $(S \vdash \varphi \Rightarrow T \vdash t(\varphi))$.

Definition

S is interpretable in T (S < T)

 $\stackrel{\text{def.}}{\Leftrightarrow} \exists t$: interpretation of S in T.

It is easy to check the following propositions.

- If $S \leq T$ and T is consistent, then S is consistent.
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- $\mathcal{L}_A := \{0, S, +, \times, <\}$: language of arithmetic.
- PA: Peano arithmetic (Basic axioms of arithmetic with induction scheme for all \mathcal{L}_A -formulas).
- ZF: Zermelo-Fraenkel set theory (Inf: Axiom of infinity).

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- ZF: Zermelo-Fraenkel set theory (Inf: Axiom of infinity).
- \bullet ZF Inf < PA.
- ZF ≰ PA.
- PA + Con_{PA} $\not\leq$ PA.
- $PA + \neg Con_{PA} < PA$.
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Interpretability Interpretability

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Question

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 Feferman's theorem is proved by using the arithmetized completeness theorem.

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- $\mathcal{L}_C := \mathcal{L} \cup C$.

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- $\mathcal{L}_C := \mathcal{L} \cup C$.
- $\{\varphi_n(x_n)\}_{n\in\omega}$: primitive recursive(p.r.) enumeration of all \mathcal{L}_C -formulas with one free-variable.
- $\exists Z:=\{\exists x_n \varphi_n(x_n) \to \varphi_n(c_{i_n}) \mid n \in \omega\}$: p.r. set s.t. T+Z is a conservative extension of T.

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- $\exists Z := \{\exists x_n \varphi_n(x_n) \to \varphi_n(c_{i_n}) \mid n \in \omega\}$: p.r. set s.t. T + Z is a conservative extension of T.
- Then T + Z is consistent (Henkin extension).

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 $\exists \sigma(x) \colon \Sigma_1$ formula s.t. $\forall \varphi \colon \mathcal{L}$ -sentence,

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For Σ_1 numeration $\sigma(x)$ of S in T, we can construct a Σ_1 formula $\Pr_{\sigma}(x)$ s.t. $\forall \varphi$: \mathcal{L} -sentence,

$$S \vdash \varphi \Leftrightarrow T \vdash \Pr_{\sigma}(\lceil \varphi \rceil).$$

 $\Pr_{\sigma}(x)$ is called the provability predicate of $\sigma(x)$.

 $\sigma(x), \sigma'(x)$: numerations of S and S' in T respectively.

Define

- $\bullet (\sigma|n)(x) := \sigma(x) \land x \leq \bar{n}.$
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- **1** (Gödel, Feferman) If σ is Σ_1 , then $T \nvdash \mathsf{Con}_{\sigma}$.
- **2** (Mostowski) $\forall n \in \omega$, $T \vdash \mathsf{Con}_{\sigma|n}$.

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- Define the p.r. set Z as above. Let $\zeta(x)$ be a suitable numeration of Z s.t.

$$\forall \varphi$$
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- $\forall x, y(\operatorname{Fml}_C(x) \wedge \operatorname{Fml}_C(y) \to (\xi(x \vee y) \leftrightarrow (\xi(x) \vee \xi(y))));$
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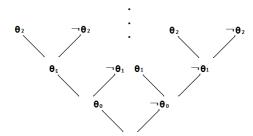
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The arithmetized completeness theorem

 $\forall \sigma(x)$: numeration of T, $\exists \xi(x)$: \mathcal{L}_A -formula s.t.

- **1** PA \vdash Con $_{\sigma} \rightarrow$ Hcm $_{\varepsilon}$ and
- **2** PA $\vdash \forall x (\Pr_{\sigma}(x) \rightarrow \xi(x)).$

- ullet $\{ heta_n\}_{n\in\omega}$: p.r. enumeration of all \mathcal{L}_C -sentences.
- $\xi(x) \cdots$ "x is contained in the leftmost consistent path".
- PA $\vdash \forall x (\Pr_{\sigma}(x) \rightarrow \xi(x)).$
- PA \vdash Con $_{\sigma \lor \zeta} \to$ Hcm $_{\xi}$.



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Then $S \leq T + \mathsf{Con}_{\sigma}$.

Theorem(Feferman, 1960)

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Proof.

• $\xi(x)$: as in the arithmetized completeness theorem.

Theorem(Feferman, 1960)

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- \bullet $\xi(x)$: as in the arithmetized completeness theorem.
- $ullet d(x):\equiv x=x$, $\eta_c(x):\equiv C(x)\wedge \xi(\lceil c=\dot{x}
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- By induction, $\forall \varphi$, PA + Hcm $_{\xi} \vdash t(\varphi) \leftrightarrow \xi(\lceil \varphi \rceil)$.

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- φ : \mathcal{L}_S -sentence s.t. $S \vdash \varphi$. $T \vdash \Pr_{\sigma}(\lceil \varphi \rceil)$.
- $T + \mathsf{Con}_{\sigma} \vdash \xi(\lceil \varphi \rceil)$. $T + \mathsf{Con}_{\sigma} \vdash t(\varphi)$.

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An application

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Define an \mathcal{L}_S -structure \mathcal{N} as follows:

- $\bullet \mid \mathcal{N} \mid := \{ a \in \mid \mathcal{M} \mid : \mathcal{M} \models d(a) \};$
- For $c \in \mathcal{L}_S$: constant, $c^{\mathcal{N}} :=$ the unique $a \in |\mathcal{M}|$ s.t. $\mathcal{M} \models d(a) \land \eta_c(a)$;
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- $\bullet \mid \mathcal{N} \mid := \{ a \in \mid \mathcal{M} \mid : \mathcal{M} \models d(a) \};$
- For $c \in \mathcal{L}_S$: constant, $c^{\mathcal{N}} :=$ the unique $a \in |\mathcal{M}|$ s.t. $\mathcal{M} \models d(a) \land \eta_c(a)$;
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By induction, $\forall \varphi \colon \mathcal{L}_S$ -sentence, $\mathcal{M} \models t(\varphi) \Leftrightarrow \mathcal{N} \models \varphi$.

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- Suppose $S \vdash \varphi$.
- Since $S \leq T$, $T \vdash t(\varphi)$.
- $\mathcal{M} \models t(\varphi)$, so $\mathcal{N} \models \varphi$.

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- Suppose $S \vdash \varphi$.
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- \bullet N is a model of S.

Definition

 \mathcal{M}, \mathcal{N} : models of arithmetic.

 \mathcal{M} is an initial segment of \mathcal{N} $(\mathcal{M} \subseteq_e \mathcal{N}) \stackrel{\mathrm{def.}}{\Leftrightarrow}$

- ullet $|\mathcal{M}| \subset |\mathcal{N}|$ and

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Theorem(Orey(1961), Hájek (1971,1972))

For any consistent r.e. extensions S, T of PA, T.F.A.E.:

- (i) $S \leq T$.
- (ii) $\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}.$
- (iii) $\forall \theta \colon \Pi_1$ sentence, $S \vdash \theta \Rightarrow T \vdash \theta$.
- (iv) $\forall \sigma(x) \colon \Sigma_1$ numeration of $S \ \forall n \in \omega$, $T \vdash \mathsf{Con}_{\sigma|n}.$

(i)
$$S \leq T$$
.

(ii)
$$\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}.$$

$(i) \Rightarrow (ii)$

- Let θ be an interpretation of S in T.
- Let \mathcal{M} be any model of T.

- (i) S < T.
- (ii) $\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}.$

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- Let θ be an interpretation of S in T.
- Let \mathcal{M} be any model of T.
- Let \mathcal{N} be a model of S defined by t and \mathcal{M} .
- Define a function f in \mathcal{M} satisfying $f(0^{\mathcal{M}}) = 0^{\mathcal{N}}$ and $f(S^{\mathcal{M}}(a)) = S^{\mathcal{N}}(f(a)).$

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$$\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}.$$

- Let θ be an interpretation of S in T.
- Let \mathcal{M} be any model of T.
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- Define a function f in $\mathcal M$ satisfying $f(0^{\mathcal M})=0^{\mathcal N}$ and $f(S^{\mathcal{M}}(a)) = S^{\mathcal{N}}(f(a)).$
- Then f is an isomorphism of an initial segment of \mathcal{N} .

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$$\forall \mathcal{M} \models T \exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N}.$$

(iii) $\forall \theta$: Π_1 sentence, $S \vdash \theta \Rightarrow T \vdash \theta$.

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- By completeness theorem, $T \vdash \theta$.

An application

- (i) S < T.
- (iii) $\forall \theta$: Π_1 sentence, $S \vdash \theta \Rightarrow T \vdash \theta$.
- (iv) $\forall \sigma(x)$: Σ_1 numeration of $S \ \forall n \in \omega$, $T \vdash \mathsf{Con}_{\sigma|n}$.

$$(iii) \Rightarrow (iv)$$

• By Mostowski's theorem, $\forall n \in \omega$, $S \vdash \mathsf{Con}_{\sigma|n}$.

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- By Mostowski's theorem, $\forall n \in \omega, S \vdash \mathsf{Con}_{\sigma|n}$.
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• Let $\sigma^*(x) :\equiv \sigma(x) \wedge \mathsf{Con}_{\sigma|x}$.

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- Let $\sigma^*(x) :\equiv \sigma(x) \wedge \mathsf{Con}_{\sigma|x}$.
- Then $\sigma^*(x)$ numerates S in T and PA \vdash Con $_{\sigma^*}$.

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- By Feferman's theorem, $S \leq T + \mathsf{Con}_{\sigma^*}$.

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- \bullet S < T.

- Interpretability
- The arithmetized completeness theorem
- An application
- More investigations

 Model theoretic proof of the second incompleteness theorem (Kreisel)(Kikuchi,1994) Model theoretic proof of the second incompleteness theorem (Kreisel)(Kikuchi,1994)

Theorem

T,S: consistent r.e. extensions of PA. \mathcal{M} : models of T.

If $\mathcal{M} \models \mathsf{Con}_S$, then

 $\exists \mathcal{N} \models S \text{ s.t. } \mathcal{M} \subseteq_e \mathcal{N} \text{ and }$

 $\exists \xi(x)$: \mathcal{L}_A -formula s.t.

- $\forall \varphi$, $(\mathcal{M} \models \Pr_S(\lceil \varphi \rceil) \Rightarrow \mathcal{N} \models \varphi)$,
- $\forall \varphi$, $(\mathcal{M} \models \xi(\lceil \varphi \rceil) \Leftrightarrow \mathcal{N} \models \varphi)$.

 Model theoretic proof of the second incompleteness theorem (Kreisel)(Kikuchi,1994)

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- $\forall \varphi$, $(\mathcal{M} \models \xi(\lceil \varphi \rceil) \Leftrightarrow \mathcal{N} \models \varphi)$.
- Suppose $\forall \mathcal{M} \models T$, $\mathcal{M} \models \mathsf{Con}_T$.
- By using Theorem, lead a contradiction.

Definition

A interpretation t of S in T is faithful \Leftrightarrow $\forall \varphi$, $(T \vdash t(\varphi) \Rightarrow S \vdash \varphi)$.

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S is faithful interpretable in T

 $\overset{\text{def.}}{\Leftrightarrow} \exists t$: faithful interpretation of S in T.

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$\mathsf{Theorem}(\mathsf{Lindstr\"om})$

S,T: r.e. extensions of PA. T.F.A.E.:

- S is faithful interpretable in T.
- $S \leq T$ and $\forall \varphi$, $(T \vdash \Pr_{\phi}(\lceil \varphi \rceil) \Rightarrow S \vdash \varphi)$.
- **3** $\forall \theta : \Pi_1$ sentence, $(S \vdash \theta \Rightarrow T \vdash \theta)$ and $\forall \sigma : \Sigma_1$ sentence, $(T \vdash \sigma \Rightarrow S \vdash \theta)$ and

Degrees of interpretability

 Degrees of interpretability of extensions of PA (Lindström, 1979) Degrees of interpretability of extensions of PA (Lindström, 1979)

Definition

$$S \equiv T \stackrel{\text{def.}}{\Leftrightarrow} S \leq T \& T \leq S.$$

 Degrees of interpretability of extensions of PA (Lindström, 1979)

Definition

S, T: extensions of PA.

$$S \equiv T \stackrel{\text{def.}}{\Leftrightarrow} S < T \& T < S.$$

 $\bullet \equiv$ is an equivalence relation on extensions of PA.

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Interpretability

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- $\mathcal{D}_T := (D_T, <).$

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Degrees of interpretability

Theorem(Lindström)

T is an r.e. consistent extension of PA, then \mathcal{D}_T is a distributive lattice.

Open problem

S,T is Σ_1 -sound r.e. extensions of PA. $\mathcal{D}_S \simeq \mathcal{D}_T$?

Interpretability logic

• Interpretability logic (Visser, 1990)(Shavrukov, 1988)(Berarducci, 1990)

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 $\operatorname{Int}_T(x,y)\cdots$ " T+x is interpretable in T+y.

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Propositional modal logic ILM

ILM = GL+ the following axioms:

- $\bullet \Box (A \to B) \to A \rhd B$:
- $A \triangleright B \land B \triangleright C \rightarrow A \triangleright C$:
- $A \triangleright C \land B \triangleright C \rightarrow (A \lor B) \triangleright C$;
- $A \triangleright B \rightarrow (\Diamond A \rightarrow \Diamond B)$:
- $\bullet \Diamond A \rhd A$:
- $A \triangleright B \rightarrow ((A \land \Box C) \triangleright (B \land \Box C)).$

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Theorem(Shavrukov, Berarducci)

 $\forall A$, (ILM $\vdash A \Leftrightarrow A$ is arithmetically valid).

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