

# O-minimal structures

Tomohiro kawakami

Wakayama University

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- A structure  $\mathcal{N} = (R, (S_i)_{i \in I}, (f_j)_{j \in J})$  consisting of a nonempty set  $R$ ,  
relations  $S_i \subset R^{m(i)}$  ( $i \in I, m(i) \in \mathbb{N} \cup \{0\}$ ) and  
functions  $f_j : R^{n(j)} \rightarrow R$  ( $j \in J, n(j) \in \mathbb{N} \cup \{0\}$ )  
If  $n(j) = 0$ , then we identify  $f_j$  with its unique value in  $R$ , and call  $f_j$  a **constant**.  
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We say that  $f$  (resp.  $R$ ) is an  **$m$ -place function** (resp. an  **$m$ -place relation**) if  $f : R^m \rightarrow R$  is a function (resp.  $R \subset R^m$  is a relation).

# Terms

A **term** is a finite string of symbols obtained by repeated applications of the following two rules:

- ① Variables are terms.
- ② If  $f$  is an  $m$ -place function of  $\mathcal{N}$  and  $t_1, \dots, t_m$  are terms, then the concatenated string  $f(t_1, \dots, t_m)$  is a term.

Note that if  $m = 0$ , then the second rule says that constants (0-place function) are terms.

# Formulas

A **formula** is a finite string of symbols  $s_1 \dots s_k$ , where each  $s_i$  is either a variable, a function symbol, a relation symbol, one of the logical symbols  $=, \neg, \vee, \wedge, \exists, \forall$ , one of the brackets  $(, )$ , or comma  $,$ . Arbitrary formulas are generated inductively by the following three rules:

- 1 For any two terms  $t_1$  and  $t_2$ ,  $t_1 = t_2$  is a formula.
- 2 If  $R$  is an  $m$ -place relation and  $t_1, \dots, t_m$  are terms, then  $R(t_1, \dots, t_m)$  is a formula.
- 3 If  $\phi$  and  $\psi$  are formulas, then the negation  $\neg\phi$ , the disjunction  $\phi \vee \psi$ , and the conjunction  $\phi \wedge \psi$  are formulas. If  $\phi$  is a formula and  $v$  is a variable, then  $(\exists v)\phi$  and  $(\forall v)\phi$  are formulas.

# Dense linear order without endpoints



Let  $\mathcal{N} = (\mathbf{R}, <, \dots)$  be an ordered structure.

The order  $<$  is **linear** if for any  $x, y \in \mathbf{R}$ , exactly one of  $x < y, x = y, x > y$  holds.

We say that  $<$  is **dense** if for all  $x, y \in \mathbf{R}$  with  $x < y$ , there exists  $z \in \mathbf{R}$  with  $x < z < y$ , and say that  $<$  has **no endpoints** if for any  $x \in \mathbf{R}$ , there exist  $y, z \in \mathbf{R}$  such that  $y < x < z$ .

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For convenience, we add two endpoint  $-\infty$  and  $\infty$ , with  $-\infty < x < \infty$  for all  $x \in R$ .

An **open interval**  $(a, b)$  means  $\{x \in R \mid a < x < b\}$  with  $-\infty \leq a < b \leq \infty$ .

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From now on, we only consider a dense linearly ordered structure  $\mathcal{N} = (R, <, \dots)$  without endpoints.

# O-minimal structures (Order minimal structures)

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  - (2)  $\mathbf{R}_{an} := (\mathbb{R}, +, \cdot, <, (f))$ , where  $f$  ranges over all restricted analytic functions, namely all functions  $\mathbb{R}^n \rightarrow \mathbb{R}$ ,  $n \in \mathbb{N}$  that vanish identically outside  $[-1, 1]^n$  and whose restrictions to  $[-1, 1]^n$  are analytic.

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  - (3)  $\mathbf{R}_{an}^S := (\mathbb{R}, +, \cdot, <, (f), (x^r)_{r \in S})$ , where  $S$  is a subset of  $\mathbb{R}$ ,  $f$  ranges over all restricted analytic functions as in (2), and the function  $x^r : \mathbb{R} \rightarrow \mathbb{R}$  is given by

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- (4)  $\mathbf{R}_{exp} := (\mathbb{R}, +, \cdot, <, exp)$ , where  $exp : \mathbb{R} \rightarrow \mathbb{R}$  denotes the exponential function  $x \mapsto e^x$ .

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- (4)  $\mathbf{R}_{exp} := (\mathbb{R}, +, \cdot, <, exp)$ , where  $exp : \mathbb{R} \rightarrow \mathbb{R}$  denotes the exponential function  $x \mapsto e^x$ .
- (5)  $\mathbf{R}_{an,exp} := (\mathbb{R}, +, \cdot, <, (f), exp)$ , where  $(f)$  and  $exp$  denote as above.

# The topology of $R^n$

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We equip  $\mathbf{R}$  with the [interval topology](#) (the intervals form a base), and each product  $\mathbf{R}^n$  with the corresponding [product topology](#). Note that  $\mathbf{R}^n$  is a [Hausdorff space](#) with this topology.

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Let  $\mathcal{N} = (R, <, \dots)$  be an o-minimal structure and  $X \subset R^n, Y \subset R^m$  definable sets. We say that a map  $f : X \rightarrow Y$  is a **definable map** if the graph  $\{(x, f(x)) \in X \times Y \mid x \in X\} \subset R^n \times R^m$  is a definable set.



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## Theorem (Monotonicity theorem)

*Let  $f : (a, b) \rightarrow R$  be a definable function on the interval  $(a, b)$ . Then there exist points  $a = a_0 < a_1 < \dots < a_k < a_{k+1} = b$  in  $(a, b)$  such that on each subinterval  $(a_j, a_{j+1})$ , the restriction  $f|_{(a_j, a_{j+1})}$  is either constant, or strictly monotone and continuous.*

# Cell decomposition theorem

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Let  $\mathcal{N} = (R, <, \dots)$  be an o-minimal structure.

For each definable set  $X$  in  $R^n$ , we put

$$C(X) = \{f : X \rightarrow R \mid f \text{ is definable and continuous} \},$$

$$C_\infty(X) = C(X) \cup \{+\infty, -\infty\},$$

where we regard  $+\infty$  and  $-\infty$  as constant functions on  $X$ .

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For  $f, g \in C_\infty(X)$ , we write  $f < g$  if  $f(x) < g(x)$  for all  $x \in X$ , and in this case we put

$$(f, g)_X = \{(x, r) \in X \times R \mid f(x) < r < g(x)\}.$$

$(f, g)_X$  is a definable subset of  $R^{n+1}$ .

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Let  $(i_1, \dots, i_n)$  be a sequence of zeros and ones of length  $n$ . An  $(i_1, \dots, i_n)$  cell is a definable subset of  $\mathbf{R}^n$  obtained by induction on  $n$  as follows:

- 1 a  $(0)$  cell is a one-element set  $\{r\} \subset \mathbf{R}$  (a point), a  $(1)$  cell is an interval  $(a, b) \subset \mathbf{R}$ .
- 2 suppose  $(i_1, \dots, i_n)$  cells are already defined. Then an  $(i_1, \dots, i_n, 0)$  cell is the graph  $\Gamma(f)$  of a function  $f \in C(X)$ , where  $X$  is an  $(i_1, \dots, i_n)$  cell. As  $(i_1, \dots, i_n, 1)$  cell is a set  $(f, g)_X$ , where  $X$  is an  $(i_1, \dots, i_n)$  cell and  $f, g \in C_\infty(X)$ ,  $f < g$ .

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A cell in  $\mathbf{R}^n$  is an  $(i_1, \dots, i_n)$  cell, for some sequence  $(i_1, \dots, i_n)$ . The  $(1, \dots, 1)$  cells are exactly the cells which are open in  $\mathbf{R}^n$ .

# Cell decomposition theorem



## Definition

A decomposition of  $\mathbf{R}^n$  is a special kind of partition of  $\mathbf{R}^n$  into finitely many cells. The definition is by induction on  $n$ .

- ① A *decomposition* of  $\mathbf{R}$  is a collection

$$\{(-\infty, a_1), (a_1, a_2), \dots, (a_k, \infty), \{a_1\}, \dots, \{a_k\}\},$$

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- ② A finite partition of  $\mathbf{R}^{n+1}$  into cells  $A$  such that the set of projections  $\pi(A)$  is a decomposition of  $\mathbf{R}^n$ , where  $\pi : \mathbf{R}^{n+1} \rightarrow \mathbf{R}^n, \pi(x_1, \dots, x_n, x_{n+1}) = (x_1, \dots, x_n)$ .
- ③ A decomposition  $\mathcal{D}$  of  $\mathbf{R}^n$  is said to *partition* a set  $S \subset \mathbf{R}^n$  if each cell in  $\mathcal{D}$  is either part of  $S$  or disjoint from  $S$ .

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## Theorem (Cell decomposition theorem)

- ① *Given any definable sets  $A_1, \dots, A_k \subset \mathbb{R}^n$ , there exists a decomposition of  $\mathbb{R}^n$  partitioning each of  $A_1, \dots, A_k$ .*
- ② *For each definable function  $f : A \rightarrow \mathbb{R}$ ,  $A \subset \mathbb{R}^n$ , there exists a decomposition  $\mathcal{D}$  of  $\mathbb{R}^n$  partitioning  $A$  such that the restriction  $f|_B : B \rightarrow \mathbb{R}$  to each cell  $B \in \mathcal{D}$  with  $B \subset A$  is continuous.*

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- 2 For each definable function  $f : A \rightarrow \mathbf{R}$ ,  $A \subset \mathbf{R}^n$ , there exists a decomposition  $\mathcal{D}$  of  $\mathbf{R}^n$  partitioning  $A$  such that the restriction  $f|_B : B \rightarrow \mathbf{R}$  to each cell  $B \in \mathcal{D}$  with  $B \subset A$  is continuous.

A set  $Y \subset \mathbf{R}^{n+1}$  is **finite over  $\mathbf{R}^n$**  if for each  $x \in \mathbf{R}^n$ , the fiber  $Y_x = \{r \in \mathbf{R} \mid (x, r) \in Y\}$  is finite. We call  $Y$  **uniformly finite over  $\mathbf{R}^n$**  if there exists  $N \in \mathbb{N}$  such that  $|Y_x| \leq N$  for all  $x \in \mathbf{R}^n$ .

## Theorem (Uniform finiteness)

Suppose that a definable subset  $A$  of  $\mathbf{R}^{n+1}$  is finite over  $\mathbf{R}^n$ . Then  $Y$  is uniformly finite.

# $C^r$ Cell decomposition theorem

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Let  $\mathcal{N} = (R, +, \cdot, <, \dots)$  be an o-minimal expansion of a real closed field.

Let  $X \subset R^n$  be a definable open set and  $r$  a positive integer. A definable map  $f : X \rightarrow R^n$  is a **definable  $C^r$  map** if  $f$  is of class  $C^r$ .

A definable map  $f : A \rightarrow R^n$ , where  $A \subset R^m$  is **not necessarily open**, is a **definable  $C^r$  map** if there exist a definable open set  $U \subset R^m$  containing  $A$  and a definable  $C^r$  map  $F : U \rightarrow R^n$  such that  $f = F|_A$ .

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we put

$$C^r(X) = \{f : X \rightarrow R \mid f \text{ is definable and of class } C^r\},$$

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## Theorem ( $C^r$ Cell decomposition theorem)

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- ② For each definable function  $f : A \rightarrow \mathbb{R}$ ,  $A \subset \mathbb{R}^n$ , there exists a decomposition  $\mathcal{D}$  of  $\mathbb{R}^n$  partitioning  $A$  such that the restriction  $f|_B : B \rightarrow \mathbb{R}$  to each  $C^r$  cell  $B \in \mathcal{D}$  with  $B \subset A$  is of class  $C^r$ .

# Triangulation

Let  $\mathcal{N} = (\mathbf{R}, +, \cdot, <, \dots)$  be an o-minimal expansion of a real closed field.

An affine subspace of  $\mathbf{R}^n$  of dimension  $d$  is a translate  $L + a$  of a linear subspace  $L$  of  $\mathbf{R}^n$  of dimension  $d$ .

A tuple  $a_0, \dots, a_k$  of points in  $\mathbf{R}^n$  is affine independent if the smallest affine subspace containing  $a_0, \dots, a_k$  has dimension  $k$ .



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An affine independent tuple of points  $a_0, \dots, a_k$  of  $R^n$ , we call

$(a_0, \dots, a_k) = \{\sum_{i=1}^k t_i a_i \mid \text{all } t_i > 0, \sum_{i=1}^k t_i = 1\}$  a  $k$ -simplex.

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A tuple  $a_0, \dots, a_k$  of points in  $R^n$  is affine independent if the smallest affine subspace containing  $a_0, \dots, a_k$  has dimension  $k$ .

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$(a_0, \dots, a_k) = \{\sum_{i=1}^k t_i a_i \mid \text{all } t_i > 0, \sum_{i=1}^k t_i = 1\}$  a  $k$ -simplex.

A complex  $K$  in  $R^n$  is a finite collection of simplexes in  $R^n$  such that for all  $\sigma_1, \sigma_2 \in K$ , either  $\overline{\sigma_1} \cap \overline{\sigma_2} = \emptyset$ , or  $\overline{\sigma_1} \cap \overline{\sigma_2} = \overline{\tau}$  for some common face  $\tau$  of  $\sigma_1$  and  $\sigma_2$ . Here  $\overline{\sigma_1}$  (resp.  $\overline{\sigma_2}, \overline{\tau}$ ) denotes the closure of  $\sigma_1$  (resp.  $\sigma_2, \tau$ ) in  $R^n$ . Notice that  $\tau$  is not required to belong to  $K$ . A complex is called closed if it contains all its faces of each simplex. Note that a complex  $K$  in  $R^n$  is closed if and only if  $|K|$  is closed in  $R^n$ .

# Triangulation

## Definition

Let  $A \subset \mathbb{R}^n$  be a definable set. A *definable triangulation in  $\mathbb{R}^n$  of  $A$*  is a pair  $(\psi, K)$  consisting of a complex  $K$  in  $\mathbb{R}^n$  and a definable homeomorphism  $\psi: A \rightarrow |K|$ . The triangulation is said to be *compatible with a definable subset  $B \subset A$*  if  $B$  is a union of some elements of  $\psi^{-1}(K)$ .

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## Theorem (Triangulation theorem)

Let  $S \subset \mathbb{R}^n$  be a definable set and  $S_1, \dots, S_k$  definable subsets of  $S$ . Then  $S$  has a triangulation in  $\mathbb{R}^n$  which is compatible with  $S_1, \dots, S_k$ .

# Piecewise trivialization theorem

# Piecewise trivialization theorem

Let  $\mathcal{N} = (R, +, \cdot, <, \dots)$  be an o-minimal expansion of a real closed field.

## Definition

Let  $A \subset R^m$ ,  $S \subset R^n$  be definable sets, and let  $f : S \rightarrow A$  be a definable continuous map. We say that  $f$  is *definably trivial* if there exist a definable set  $F \subset R^N$  for some  $N \in \mathbb{N}$ , and a definable continuous map  $h : S \rightarrow F$  such that  $(f, h) : S \rightarrow A \times F$  is a definable homeomorphism. In this case, each fiber  $f^{-1}(a)$  of  $f$  over  $a$  is definably homeomorphic to  $F$ . For a definable subset  $B \subset A$ , we call  $f$  *definably trivial over  $B$*  if the restriction  $f|_{f^{-1}(B)} : f^{-1}(B) \rightarrow B$  is definably trivial.

## Piecewise trivialization theorem

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### Theorem (Piecewise trivialization theorem)

Let  $f : S \rightarrow A$  be a definable continuous map. Then there exists a finite partition  $A = A_1 \cup \dots \cup A_m$  of  $A$  into definable sets  $A_i$  such that  $f$  is definably trivial over each  $A_i$ .



# Definable quotient

Let  $\mathcal{N} = (R, +, \cdot, <, \dots)$  be an o-minimal expansion of a real closed field.

## Definition

Let  $E \subset X \times X$  be a definable equivalence relation on a definable set  $X \subset R^n$ . A *definably proper quotient of  $X$  by  $E$*  is a pair  $(p, Y)$  consisting of a definable set  $Y \subset R^m$  and a definable continuous surjective map  $p : X \rightarrow Y$  such that

- 1  $E = E_p$ , that is  $(x_1, x_2) \in E \Leftrightarrow p(x_1) = p(x_2)$  for all  $x_1, x_2 \in X$ .
- 2 For each definable set  $K \subset Y$ , if  $p^{-1}(K)$  is closed and bounded in  $R^n$ , then  $K$  is closed and bounded in  $R^m$ .

# Definable quotient

## Definition

Let  $E$  be a definable equivalence relation on a definable set  $X$  and  $pr_1 : X \times X \rightarrow X, pr_2 : X \times X \rightarrow X$  the restrictions of the two projections  $X \times X \rightarrow X$ . We call  $E$  *definably proper over  $X$*  if  $pr_1$  is a definably proper map.

# Definable quotient

## Theorem

*Suppose the definable equivalence relation  $E$  on the definable set  $X$  is definably proper over  $X$ . Then  $X/E$  exists as a definably proper quotient of  $X$ .*

*Namely  $X/E$  is a definable set and the projection  $p : X \rightarrow X/E$  is a definably proper definable surjective continuous map.*

## Theorem

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## Theorem

*If  $X \subset \mathbf{R}^n$  is closed and bounded and  $E \subset X \times X$  is a closed definable equivalence relation, then  $X/E$  exists as a definably proper quotient of  $X$ . Namely  $X/E$  is a definable set and the projection  $p : X \rightarrow X/E$  is a definably proper definable surjective continuous map.*

# Definable quotient



# Definable quotient

A definable subset  $X \subset \mathbb{R}^n$  is **definably compact** if for any definable map  $f : (a, b) \rightarrow X$ , there exist the limits  $\lim_{x \rightarrow a+0} f(x)$ ,  $\lim_{x \rightarrow b-0} f(x)$  in  $X$ .

## Theorem (Peterzil and Steinhorn 1999)

*For a definable subset of  $\mathbb{R}^n$ , it is definably compact if and only if it is closed and bounded.*

A definable set  $G \subset \mathbb{R}^n$  is a **definable group** if  $G$  is a group and the group operations  $G \times G \rightarrow G$ ,  $G \rightarrow G$  are definable and continuous.

## Corollary

*If  $G$  is a definably compact group and  $G$  acts a definable set  $X$  definably and continuously, then the orbit space  $X/G$  is a definable set and the orbit map  $p : X \rightarrow X/G$  is a definably proper definable surjective continuous map.*